

# Novel Physics of Nitride Devices

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## Tutorial

ICPS 2004  
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Flagstaff, Arizona, USA



# Outline

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- Potential applications
- Polarization effects
  - Piezo -Pyro -Movable Quantum Dots and THz
- Electron transport
  - Low field - High field
  - Ballistic and overshoot transport
- Trapping
- Noise
- New FET physics – MOSHFET and Current Collapse
- Plasma wave electronics
- Conclusions



# Device Universe Is Both Infinite and Expanding



HgTe

SiC

Si

SiGe

GaN

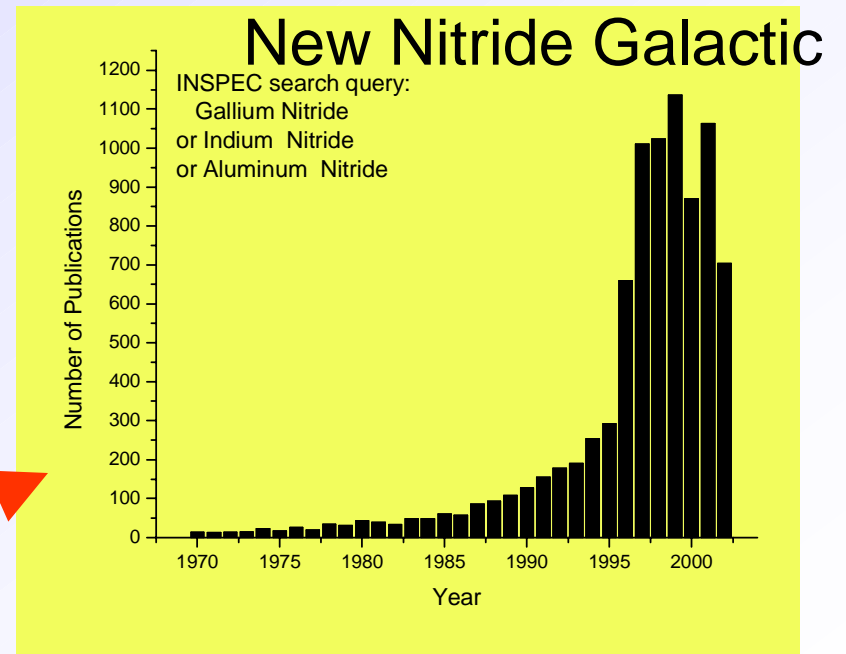
Felix Gonzalez-Torres  
American (born Cuba)  
Born 1957, died 1996

Untitled (Petit Palais)  
1992

Lightbulbs, electrical wire,  
and porcelain sockets

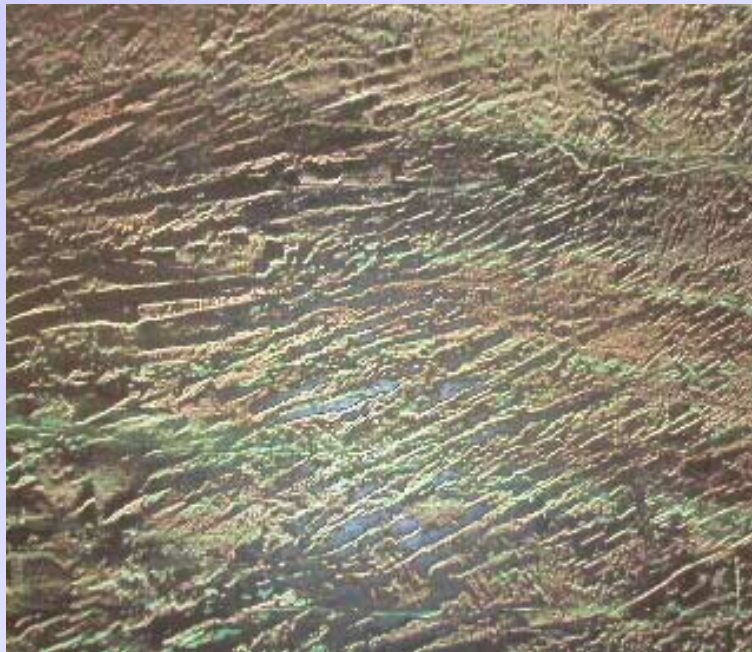


GaN



“The Universe is full of magical things patiently waiting for our wits to grow sharper”

Eden Phillpott



Isa Genzken (born 1948)  
Basic research



Vasili Kandinsky

Lembach Villa, Munich



# Potential and Existing Applications of Nitride Devices

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- Blue, green, white light, and UV emitters
  - Traffic lights
  - Displays
  - Water, food, air sterilization and detection of biological agents
  - Solid state lighting
- Visible-blind and solar-blind photodetectors
- High power microwave sources
- High power and microwave switches
- Wireless communications
- High temperature electronics
- SAW and acousto-optoelectronics
- Pyroelectric sensors
- Terahertz electronics
- Non volatile memories





# White and UV applications (for 250 nm – 340 nm). Sensing and beyond

- Environmental protection
- Homeland security
- Plant growth
- Surgery lighting
- Visual stimuli
- Capillaroscopy
- Monitoring of arterial oxygen
- Phototherapyherapy of Seasonal Affective Disorder
- Water and air purification
- Solid-state white lighting
- Dense data storage
- Ballistic missile defense
- Photopolymerization of Dental Composites
- Photobioreactors



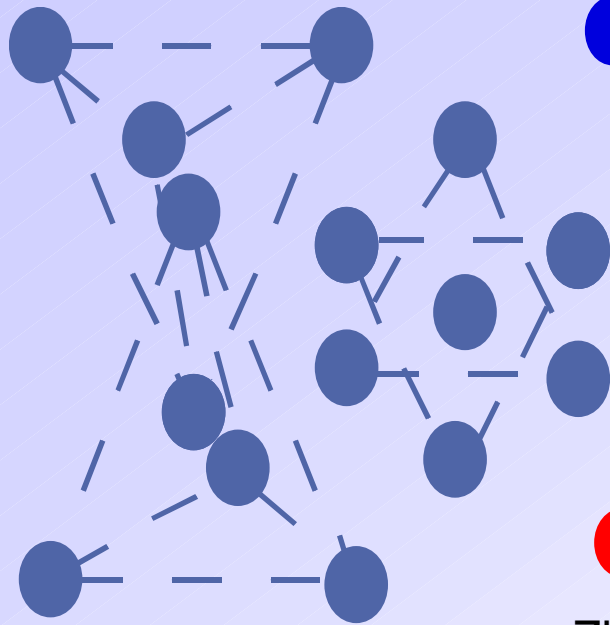
UV-LED Based Fluorimeter with Integrated Lock-in Amplifier (after Prof. Zukauskas, U of V.)



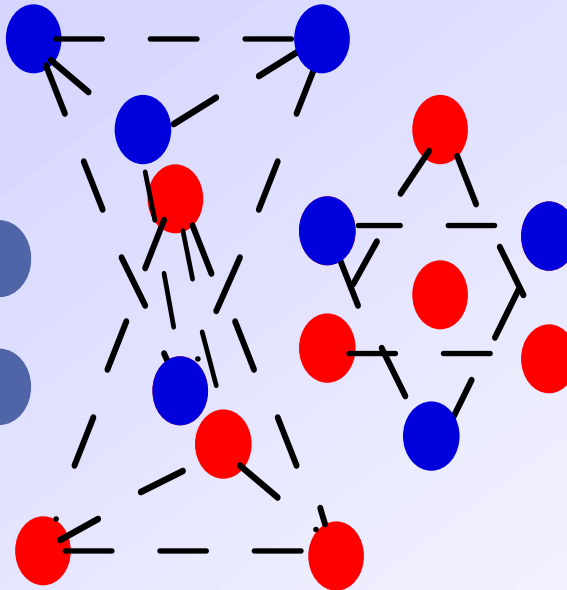
Applications of U of V/RPI /SET quadrichromatic Versatile Solid-State Lamp: Phototherapy of seasonal affective disorder at Psychiatric Clinic of Vilnius University



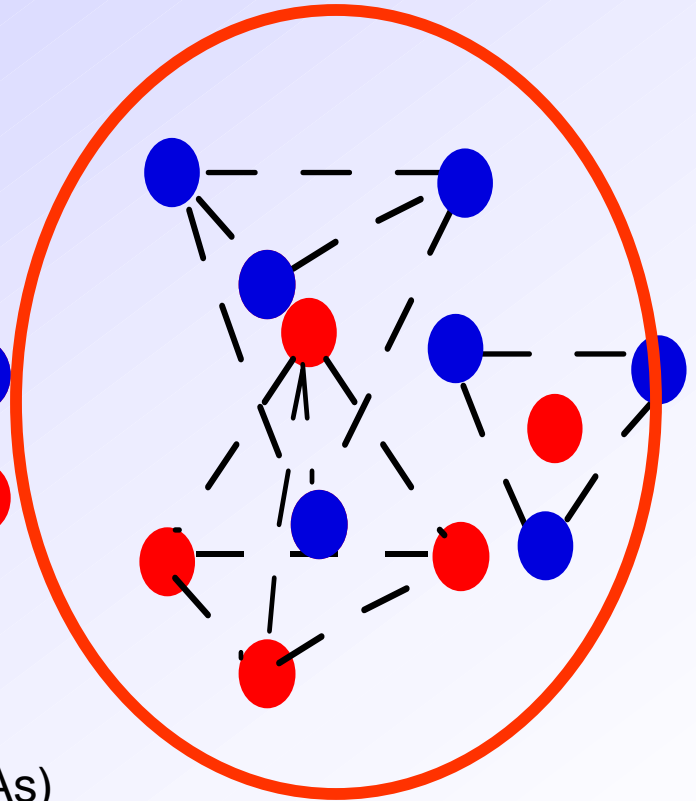
# Nitrides: New Symmetry, New Physics



Diamond Structure (Si, Ge)



Zinc Blende Structure (GaAs)



Wurtzite Structure (SiC, GaN)



Si boule



GaAs boule



AlN boule (Courtesy Crystal IS)



# Crystal symmetry of pyroelectric crystals

Crystal system	Crystal class (Schönflies)	Crystal class (Hermann-Mauguin)
Triclinic	$C_1$	1
Monoclinic	$C_s$	m
	$C_2$	2
Orthorhombic	$C_{2v}$	2mm
Tetragonal	$C_4$	4
	$C_{4v}$	4mm
Rhombohedral	$C_3$	3
	$C_{3v}$	3m
Hexagonal <b>HEXAGONAL</b>	$C_6$	6
	$C_{6v}$	6mm



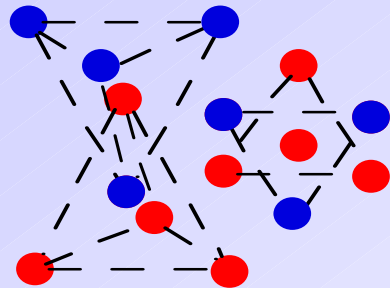


# Wide Gap Semiconductors

## Enabling Technology for Piezoelectronics and Pyroelectronics

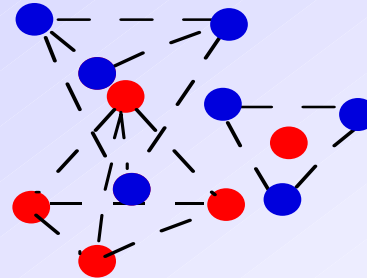
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GaAs



- Zinc blende structure
- No PE or SP effect in  $\langle 100 \rangle$  direction
- PE coefficient  $\langle 111 \rangle$   $\sim 0.15 \text{ C/m}^2$
- No PE/SP 'doping' reported

GaN/AlN



- Wurtzite structure
- c-axis growth direction
- PE coefficient in c-direction  $\sim 1 \text{ C/m}^2$
- PE/SP 'doping' demonstrated

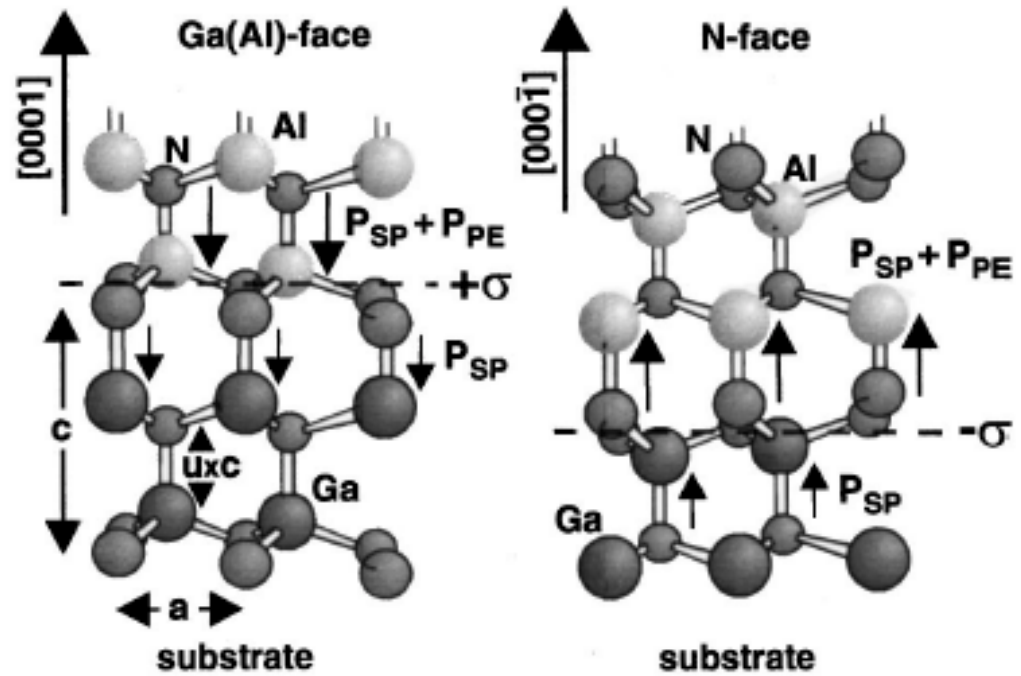
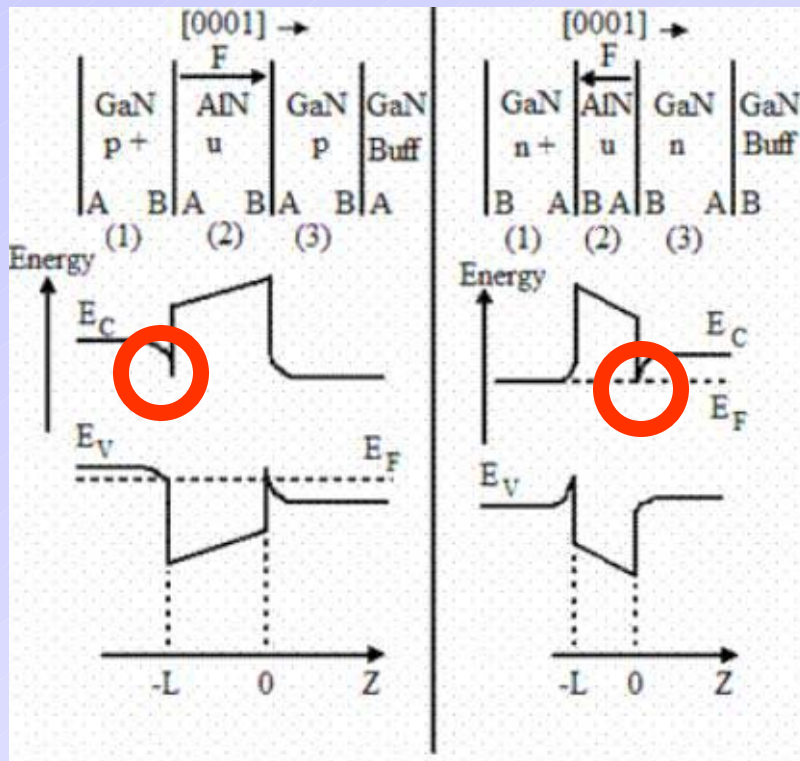


# Nitride Heterostructures: Polarization Induced Electron and Hole 2D Gases

AlGaN on GaN

$C_{6v}^4$

$P6_3mc$

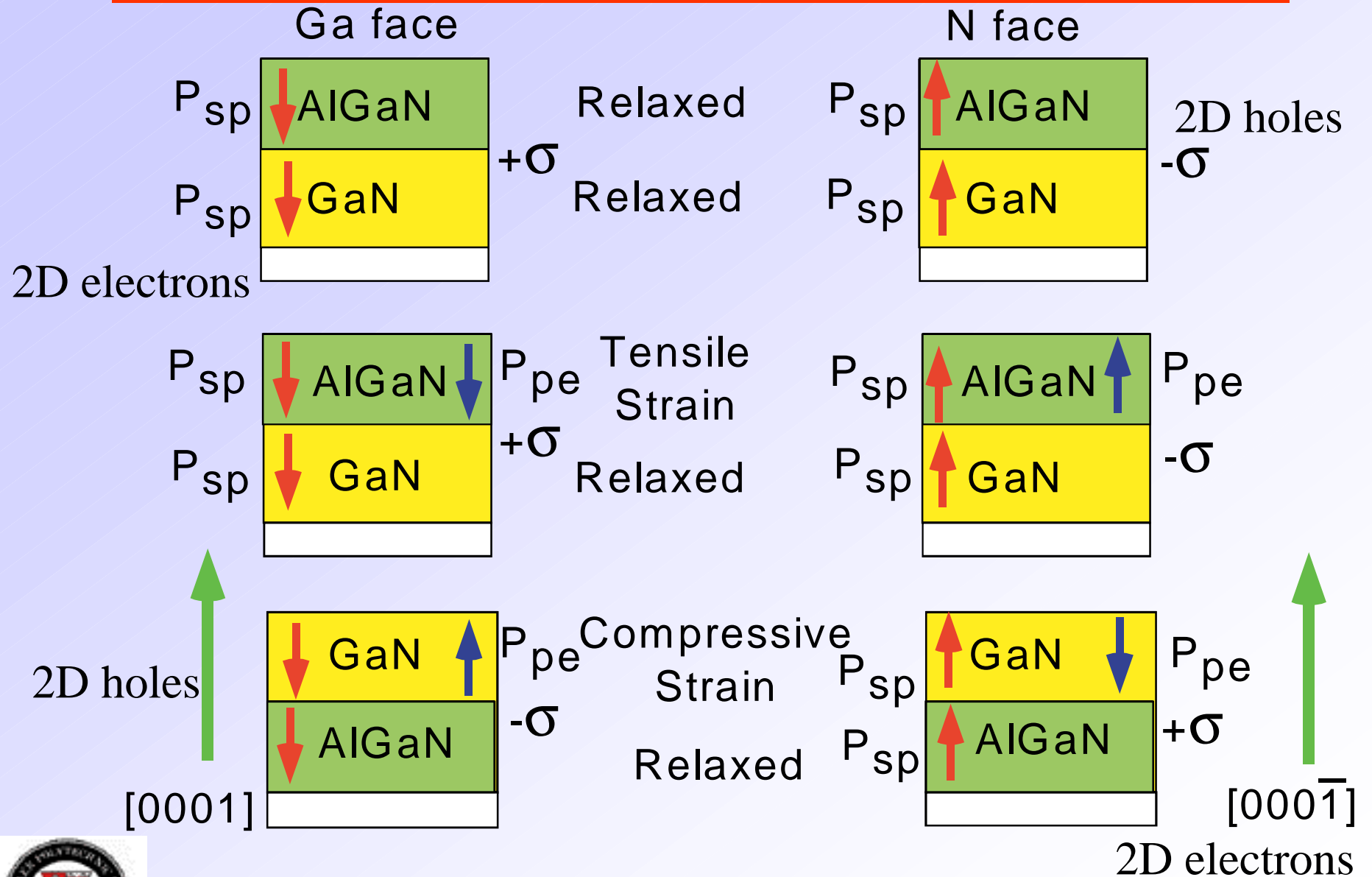


From A. Bykhovski, B. Gelmont, and M. S. Shur,  
J. Appl. Phys. 74, p. 6734-6739 (1993)

From O. Ambacher et al  
JAP 87, 334 (2000)



# Polarization signs



After O. Ambacher et al. J. Appl. Phys. 85, 3222 (1999)

# Spontaneous polarization

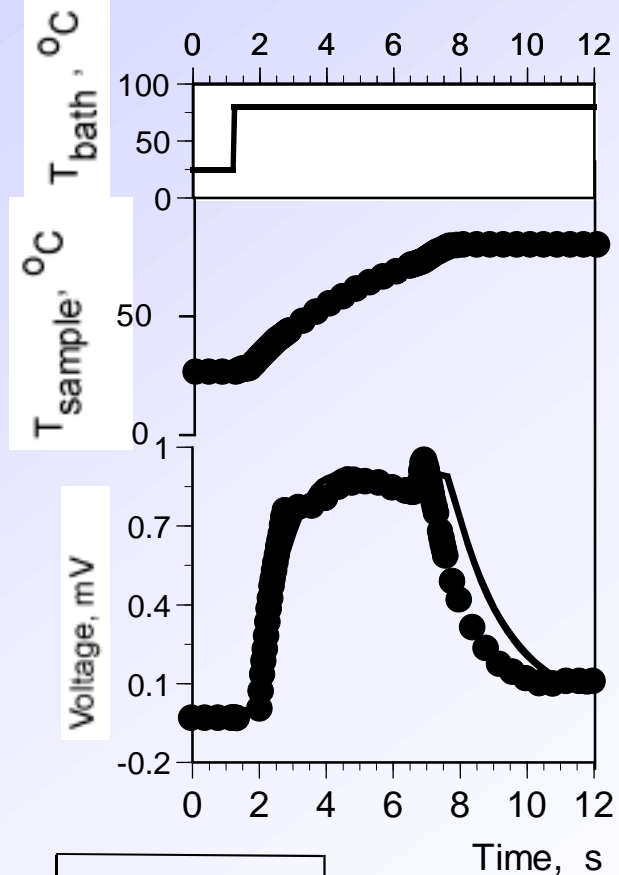
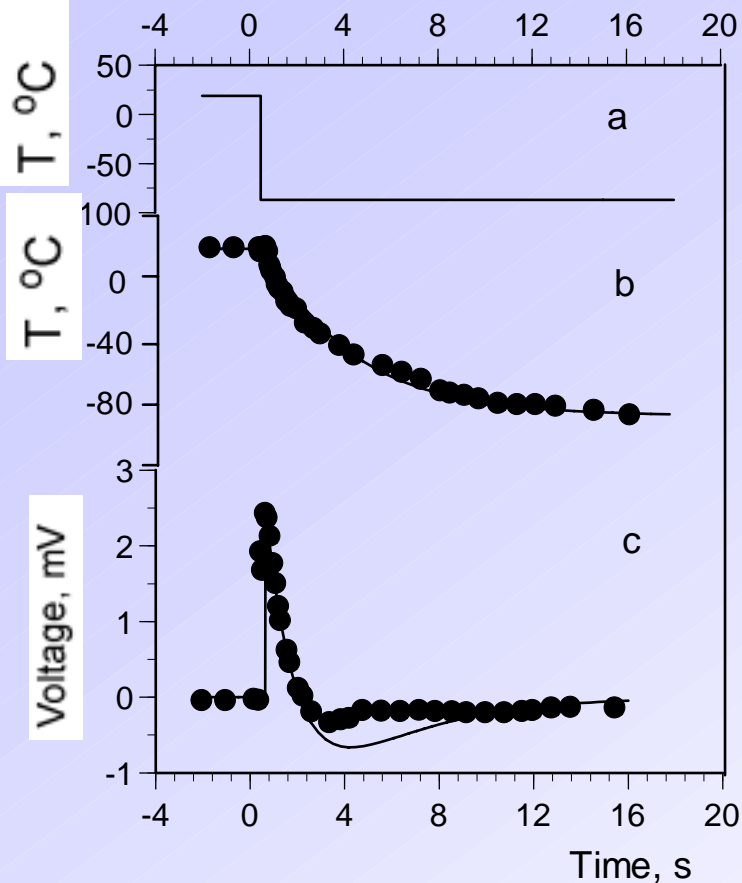
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After F. Bernardini et al. Phys Rev. 56, 10024(1997)	AlN	GaN	InN	ZnO	BeO
Spontaneous polarization (C/m <sup>2</sup> ) (cm <sup>-2</sup> )	-0.081 6.24 10 <sup>14</sup>	-0.029 2.23 10 <sup>14</sup>	-0.032 2.46 10 <sup>14</sup>	-0.057 4.39 10 <sup>14</sup>	-0.045 3.47 10 <sup>14</sup>

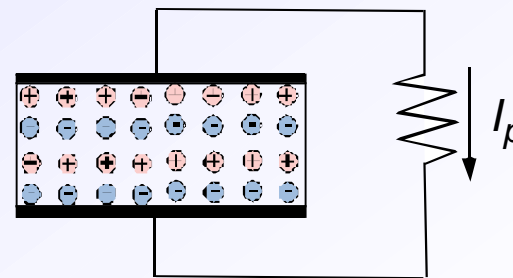
For comparison, in BaTiO<sub>3</sub>,  $P_s = 0.25 \text{ C/m}^2$  ( $1.93 \times 10^{15} \text{ cm}^{-2}$ )



# Pyroelectric effect



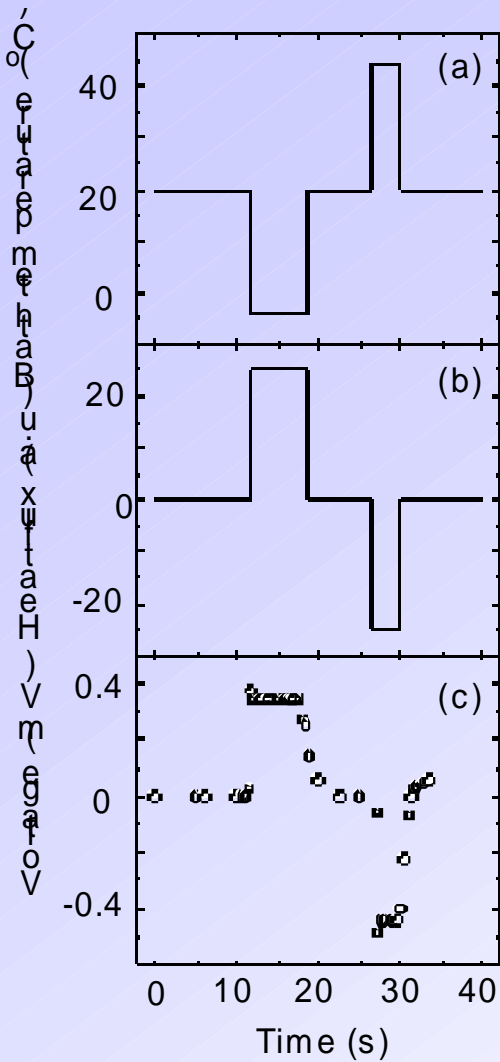
Pyroelectric material



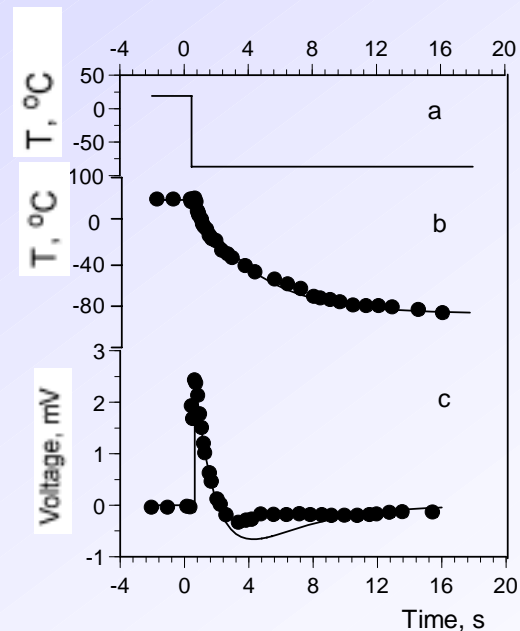
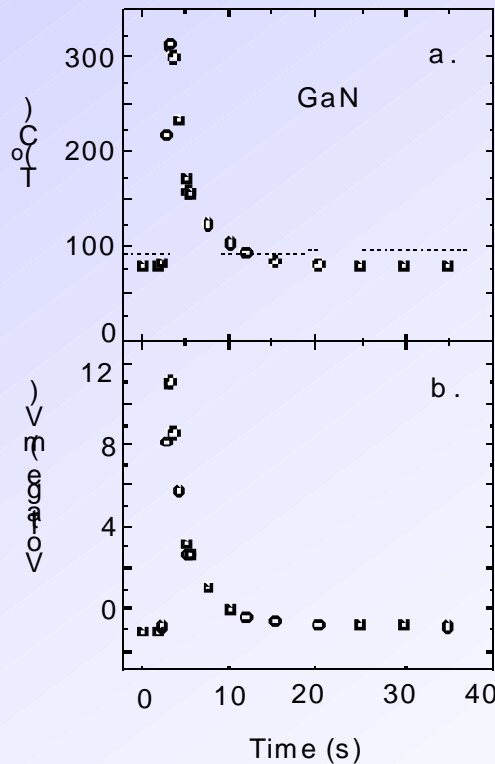
(after A. D. Bykhovski, V. V. Kaminski, M. S. Shur, Q. C. Chen, and M. A. Khan "Pyroelectricity in gallium nitride thin films", Appl. Phys. Lett., 69, 3254 (1996)).



# Pyroelectric voltage for primary pyroelectric effect (changing flux magnitude and direction)



Two time constants:  
Sample cooling and  
Charge relaxation



Primary pyroelectric effect at 300° C (High Temperature Operation!)

(after A. D. Bykhovski, V. V. Kaminski, M. S. Shur, Q. C. Chen, and M. A. Khan "Pyroelectricity in gallium nitride thin films", Appl. Phys. Lett., 69, 3254 (1996)).





# Strain from the lattice mismatch

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- $u_{xx} = (a_{\text{GaN}} - a_{\text{AlGaN}}) / a_{\text{GaN}}$
- $P_{pe} = 2 u_{xx} (e_{31} - e_{33} C_{13} / C_{33})$

How Piezoelectric constants are determined?

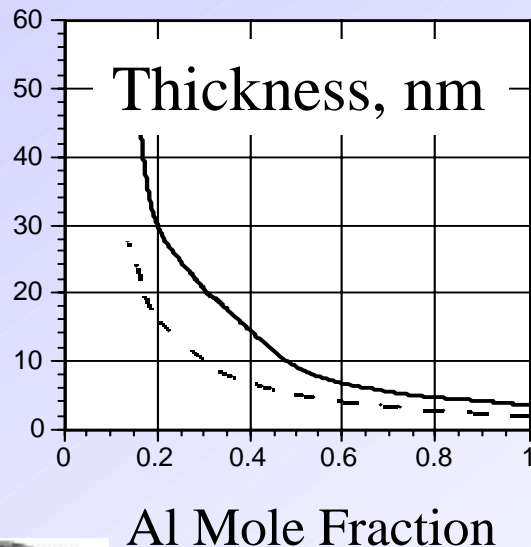
- Electromechanical coefficients (difficult)
- Optical experiments (indirect)
- Estimate from transport measurements (very indirect)



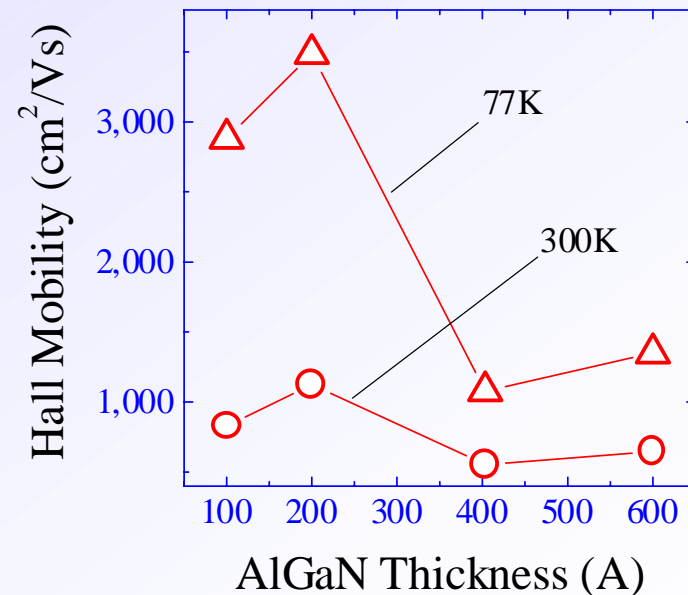
# Effect of Strain on Dislocation-Free Growth

- Critical thickness as a function of Al mole fraction in  $\text{Al}_x\text{Ga}_{1-x}\text{N}/\text{GaN}$ : superlattice (solid line), SIS structure (dashed line).

From A. D. Bykhovski, B. L. Gelmont, and M. S. Shur, *J. Appl. Phys.* 81 (9), 6332-6338 (1997)



Effect of Critical Thickness on Electron Mobility

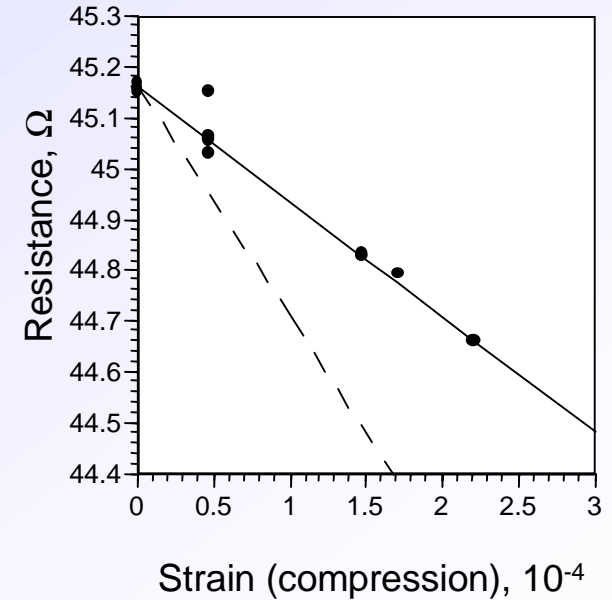
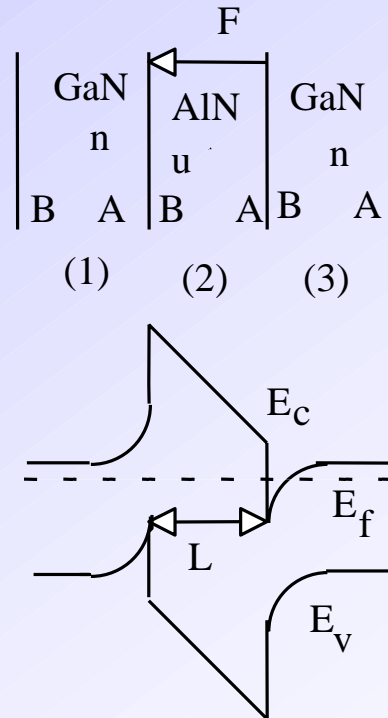
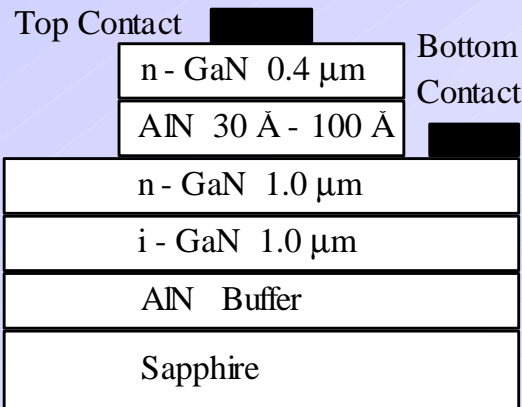


# SI S sensor

Twice as large  
as in SiC

Short range  
superlattice  
is four times  
larger than in  
SiC

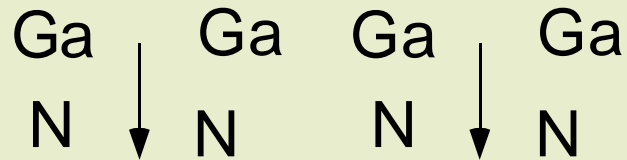
- Piezoelectric sensors
- Pyroelectric sensors



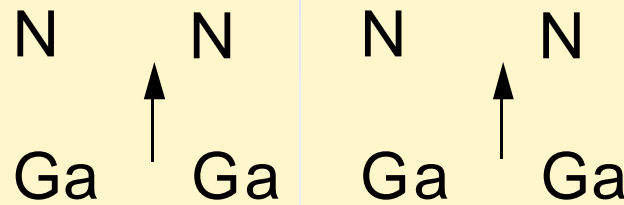
After R. Gaska, J. Yang, A. D. Bykhovski, M. S. Shur, V. V. Kaminski, S. M. Soloviev,  
Appl. Phys. Lett. 71(26), 3817 (1997)

# Polarization domain structure

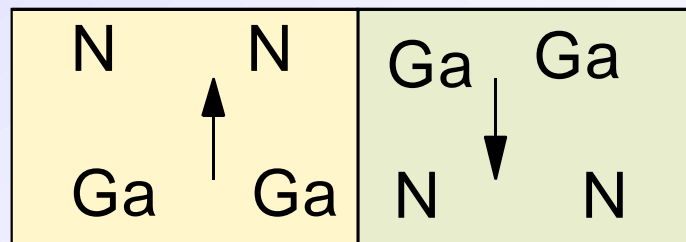
Surface



Surface



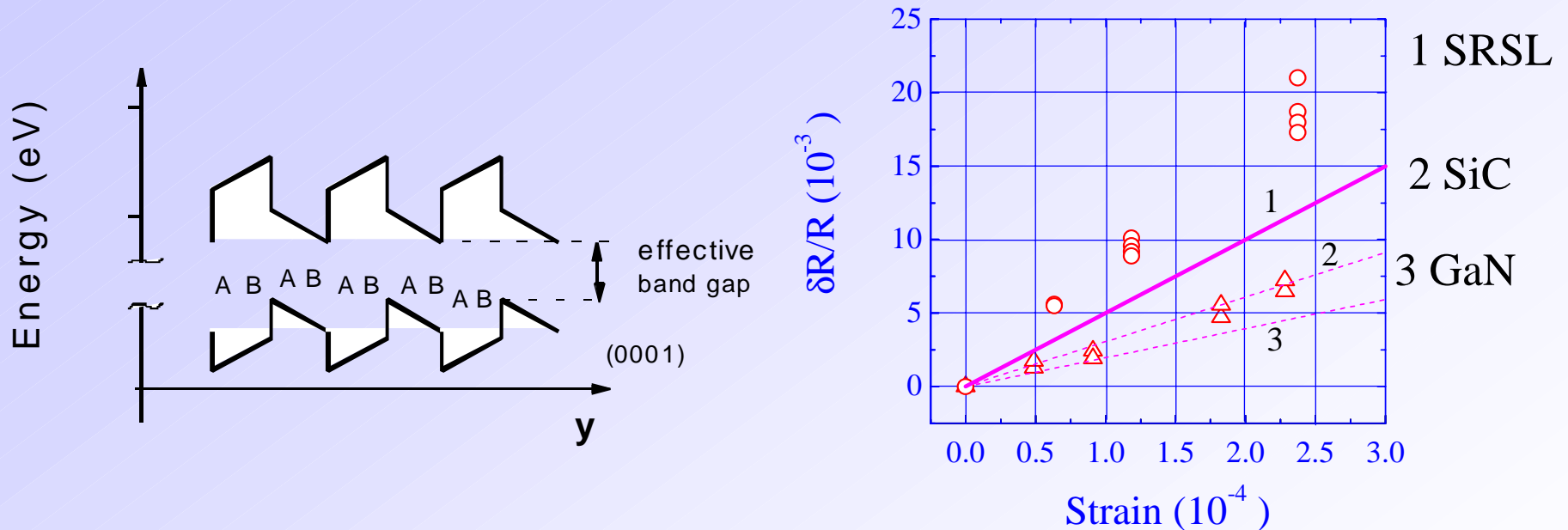
Surface



After A. D. Bykhovski, V. V. Kaminskii, M. S. Shur, Q. C. Chen, and M. Asif Khan, Piezoresistive Effect in Wurtzite *n*-type GaN, Appl. Phys. Lett., 68 (6), pp. 818-819 (1996)



# Superlattice Band Diagram and resistance change in SRSL



After A. D. Bykhovski, B. L. Gelmont, and M. S. Shur, Elastic Strain Relaxation in GaN-AlN, GaN-AlGaN, and GaN-InGaN Superlattices, J. Appl. Phys. Vol. 81, No. 9, pp. 6332, May (1997)

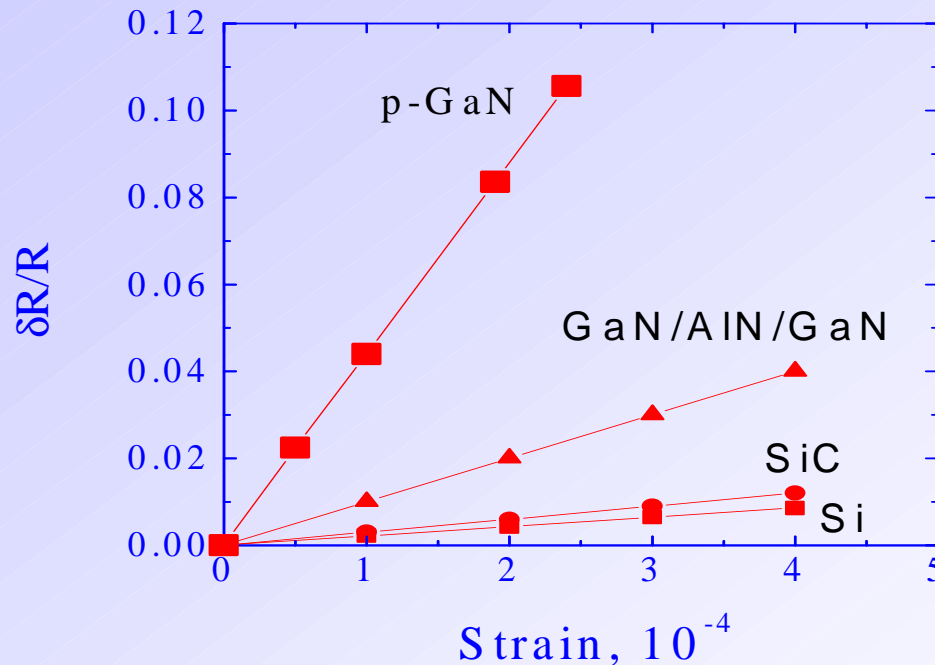
Relative change in resistance under applied strain. (o) - correspond to  $[(\text{AlN})_6-(\text{GaN})_3]_{150}$  SRSL; ( ) -  $[(\text{AlN})_3-(\text{GaN})_8]_{150}$  SRSL; solid line 1 shows dependence measured for GaN-AlN-GaN SIS<sup>1</sup>; dashed line 2 - SiC  $p$ - $n$  junction<sup>1</sup>; 3 - GaAs<sup>2</sup>. The GF for the measured samples was in the 30 to 90 range. The larger GF (higher sensitivity to strain) was measured in SRSLs with higher Al content.



<sup>1</sup> J. S. Shor, L. Bemis, and A. D. Kurtz, IEEE Trans. Electron Dev., 41 (5), 661 (1994).

<sup>2</sup> A. Sagar, Phys. Rev., 112, 1533 (1958); 117, 101 (1960).

# High Temperature p-GaN Pressure Sensor



From R. Gaska, M. S. Shur, A. D. Bykhovski, J. W. Yang, M. A. Khan, V. V. Kaminski and S. M. Soloviov, Piezoresistive Effect in Metal-Semiconductor-Metal Structures on *p*-type GaN, Appl. Phys. Lett., vol. 76, No. 26, pp. 3956-3958, June 26 (2000)

## Static Gauge factors (GF)

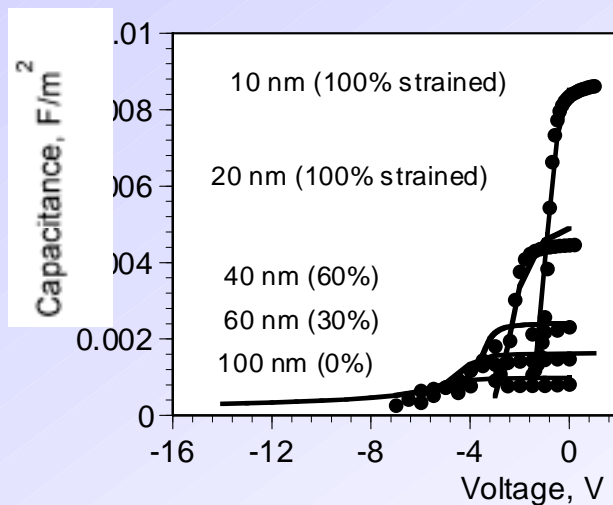
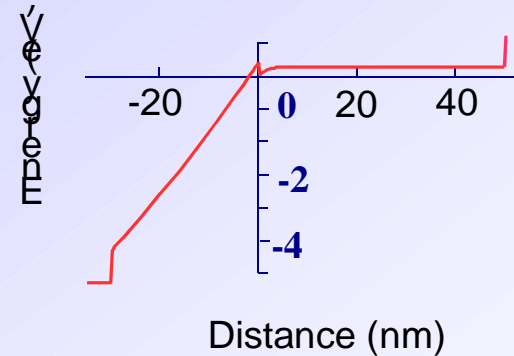
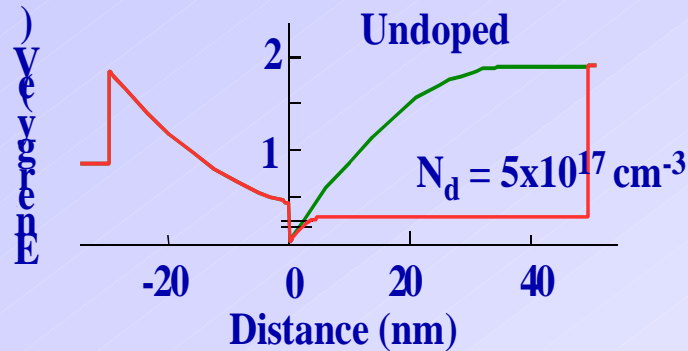
(measured under longitudinal mechanical deformation)

<u>GaN</u>	<u>GaN/AlN/GaN Sapphire</u>	<u>AlGaIn/GaN Heterostructures</u>	<u>AlN/GaN Short Range Superlattices</u>
n-type GF=10 p-type GF > 200	GF = 50	GF = 10-15	GF = 30-80





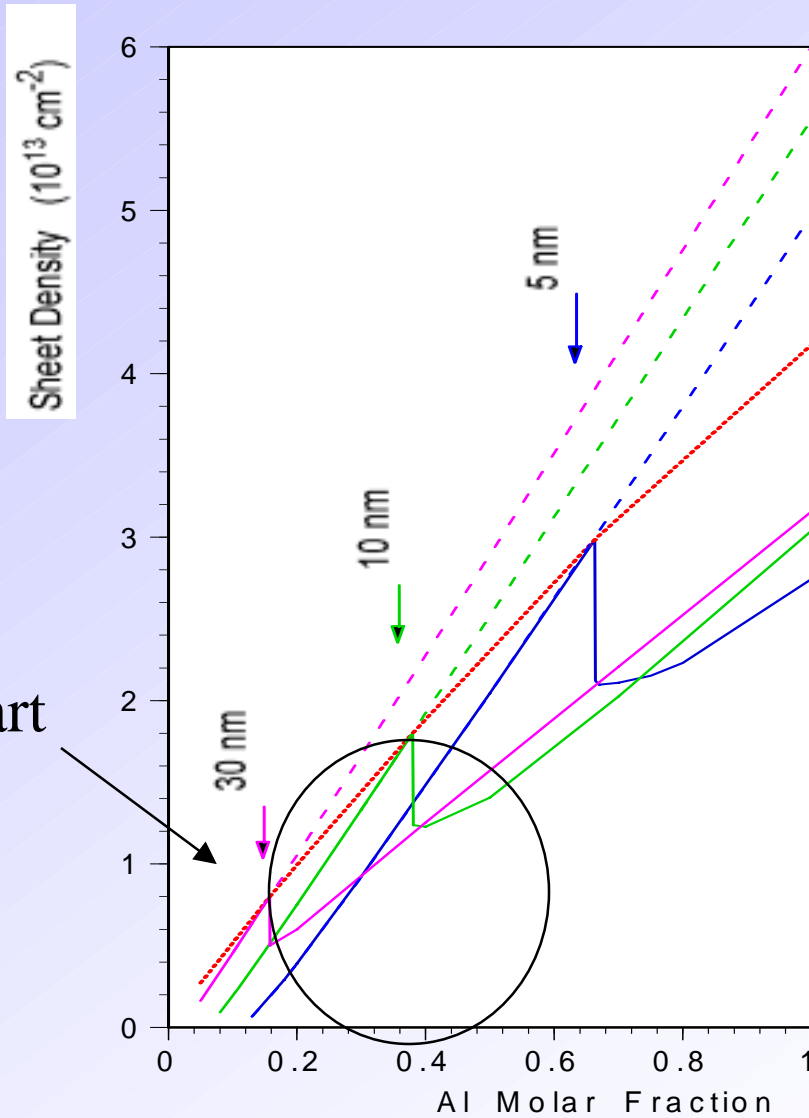
# Polarization effects in undoped and doped channel GaN/AlGaN HFETs



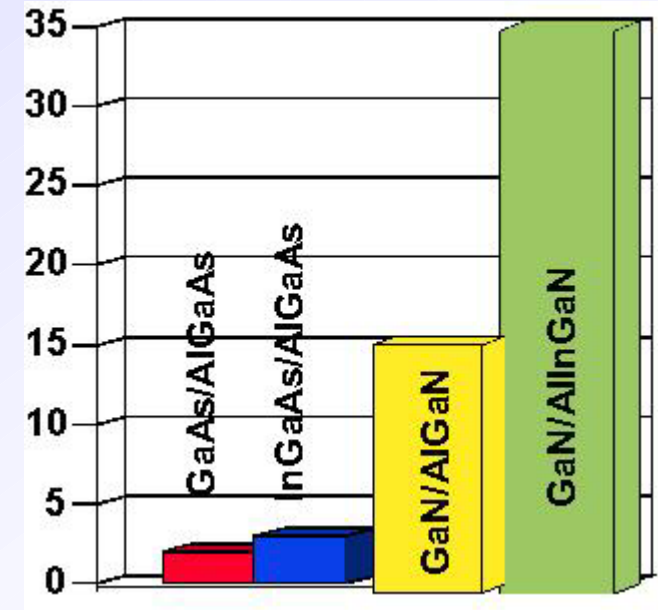
After M. S. Shur, GaN and related materials for high power applications, Mat. Res. Soc. Proc. Vol. 483, pp. 15-26 (1998) and From A. D. Bykhovski, R. Gaska, and M. S. Shur, Appl. Phys. Lett. 73 (24) (1998).



# Piezoelectric and Pyroelectric Doping in AlGaN/GaN

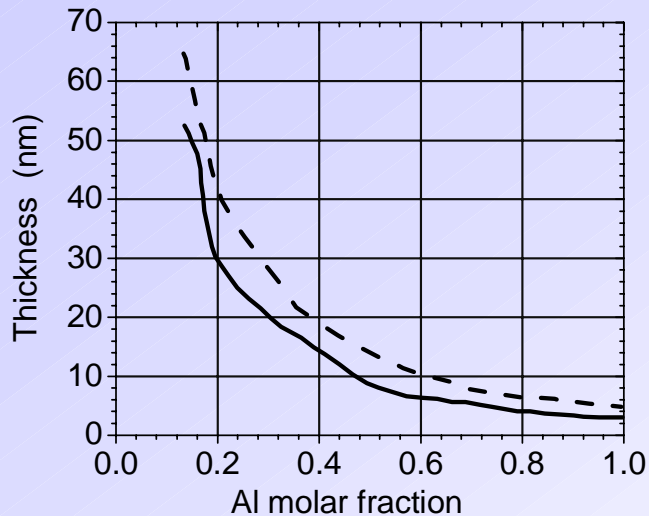


State-of-the-art

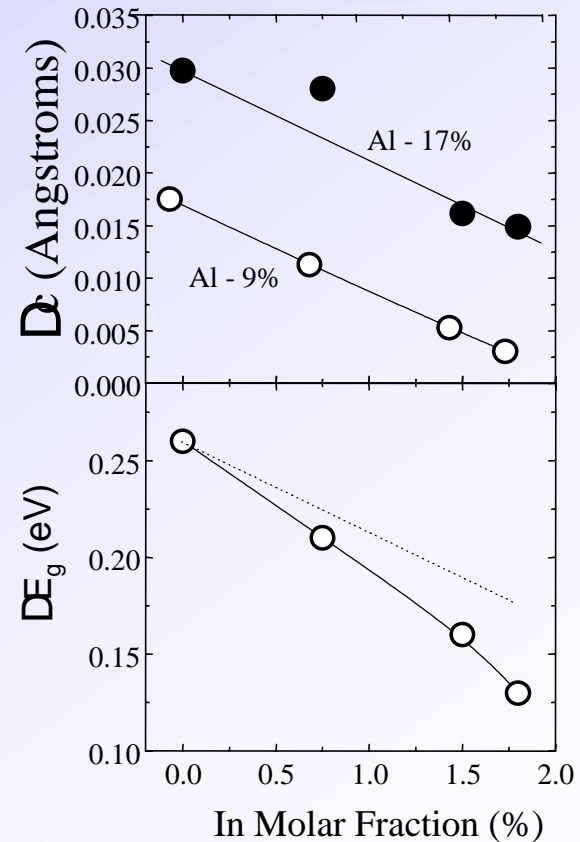


# Strain and Energy Band Engineering

- Graded composition profile and quaternary AlGaInN
- Superlattice buffers for strain relief
- PALE and MEMOCVD epitaxial growth
- Homoepitaxial substrates
- Non-polar substrates

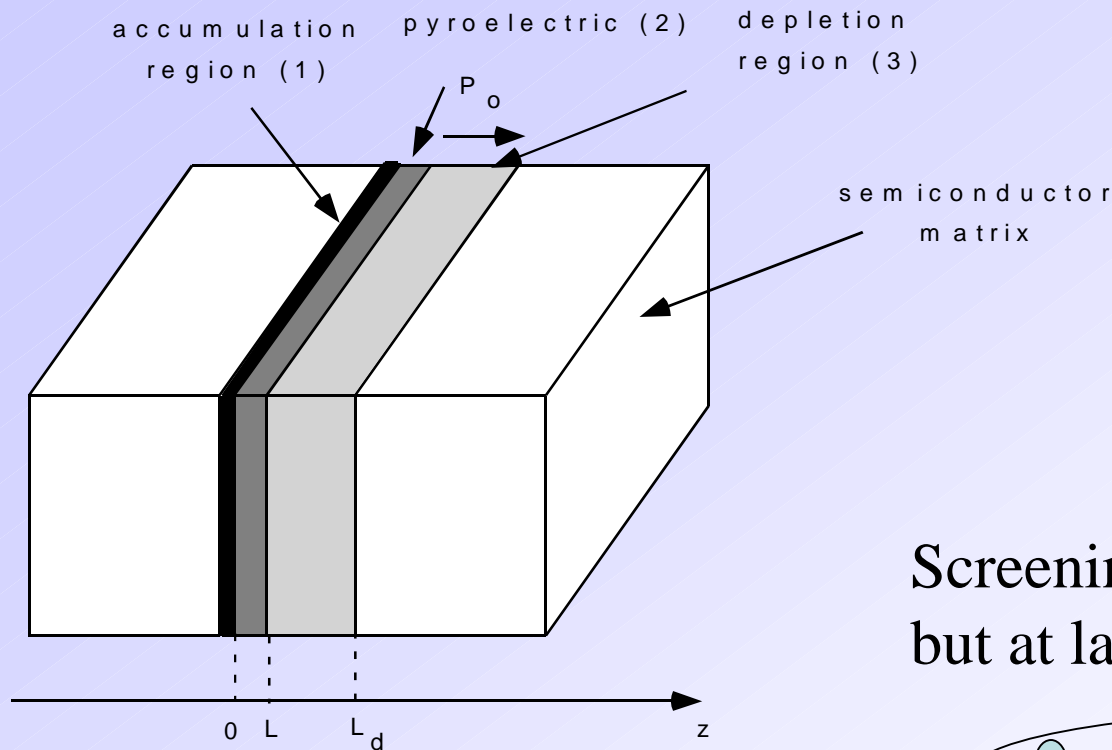


A. D. Bykhovski, B. L. Gelmont, and M. S. Shur, *Elastic Strain Relaxation in GaN-AlN Superlattices*, Proceedings of International Semiconductor Device Research Symposium, Vol. II, pp. 541-544, Charlottesville, VA, ISBN 1-880920-04-4, Dec. 1995



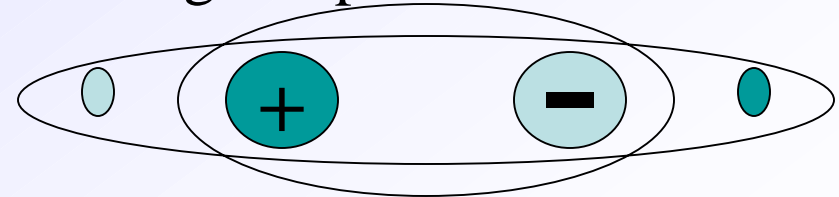
M. Asif Khan, J. W. Yang, G. Simin, R. Gaska, M. S. Shur, Hans-Conrad zur Loye, G. Tamulaitis, A. Zukauskas, David J. Smith, D. Chandrasekhar, and R. Bicknell-Tassius, *Lattice and Energy Band Engineering in AlInGaN/GaN Heterostructures*, Appl. Phys. Lett 76 (9) pp. 1161-1163 (2000)

# New Screening Mechanism in Multilayer Pyroelectrics



**Screening the polarization induced dipole involves smaller charges than the charges in polarization dipole**

Screening dipole – smaller charges but at larger separation

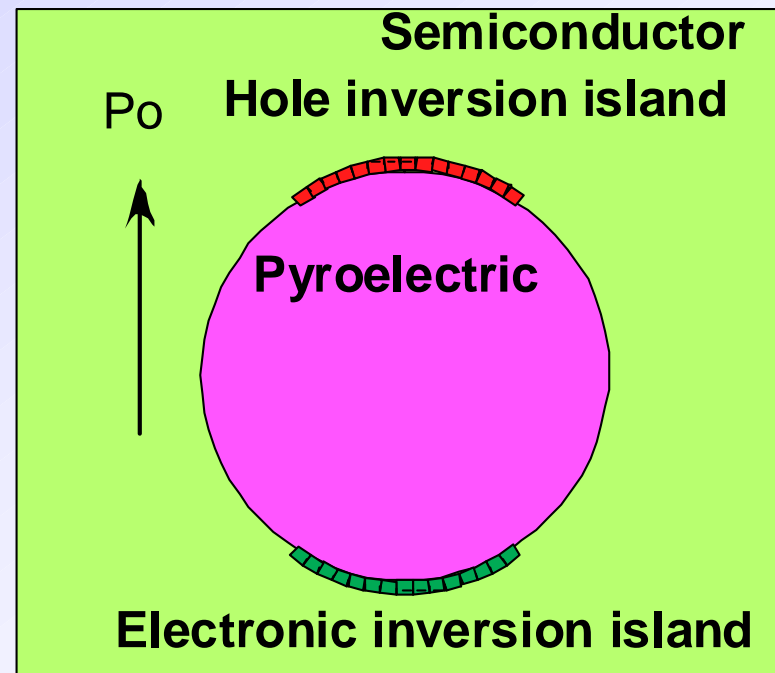
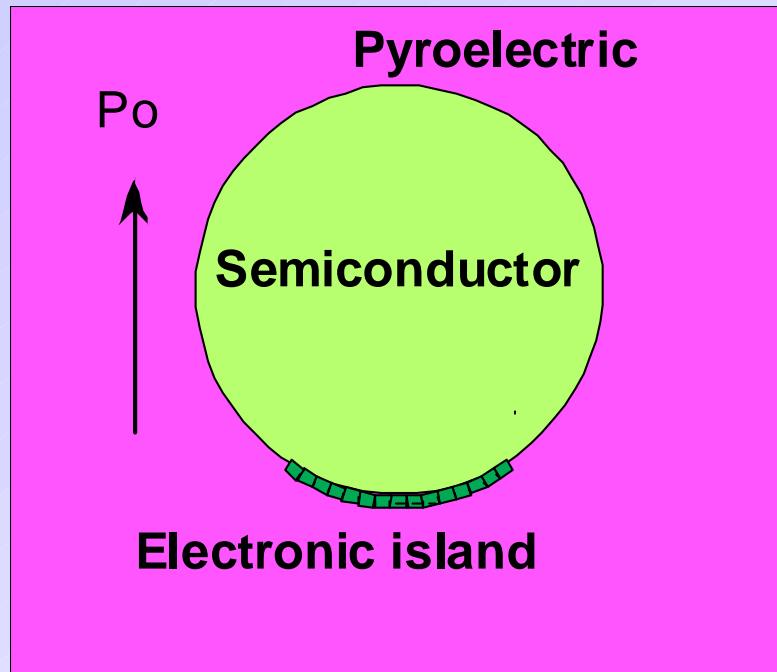


New screening length (larger than Debye but smaller than depletion)



Electronic island at the surface of  
semiconductor grain in pyroelectric matrix  
(MQDs - Moveable Quantum Dots)

Inversion electron and hole islands at the surface of  
pyroelectric grain in semiconductor matrix



Control by external field – Zero dimensional Field Effect (ZFE)



V. Kachorovskiy and M. S. Shur, APL, March 29 (2004)

shurm@rpi.edu

# Basic Equations

$$E = \frac{4\pi P_0}{\epsilon + 2\epsilon_p}$$

polarization induced electric field

$$E_c = \frac{eN}{\epsilon R^2} = \frac{4\pi en_d R}{3\epsilon}$$

screening field

$$N = \frac{4\pi}{3} n_d R^3$$

total number of electrons in the grain  
 $n_d$  donor concentration

$$P_0 \gg en_d R \longrightarrow E \gg E_c$$

Electrons cannot screen polarization induced field. Strong field push electrons into small 2D island

The size of the island should be determined by the minimum of the total energy

$$W = \frac{QEa^2}{R} + \frac{Q^2}{\epsilon a}$$

Potential energy in the field  $E$

Coulomb repulsion energy

$W$  should be minimal provided that the total charge of the island,  $Q=eN$ , is constant

$$a \sim \left( \frac{QR}{E} \right)^{1/3} \sim \left( \frac{en_d R}{P_0} \right)^{1/3} R$$

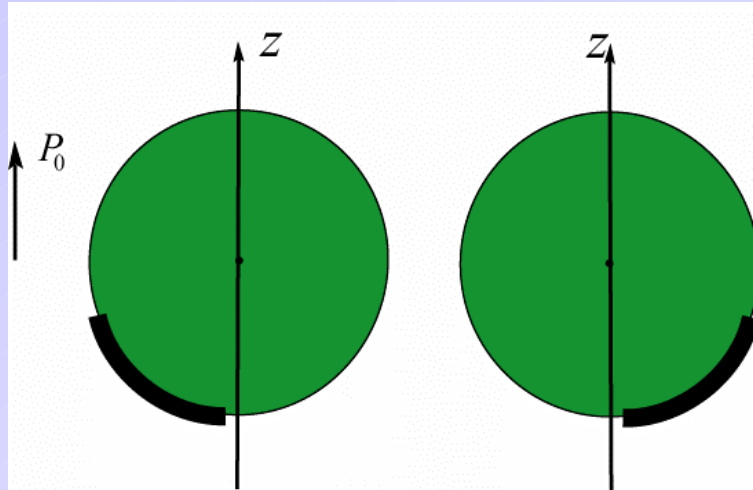
For typical values of polarization the size of electron island is small compared to  $R$





# Terahertz oscillations

2D island might oscillate as a whole over grain surface. The oscillations can be excited by *ac* field perpendicular to  $P_0$



Oscillation frequency

$$\omega_0 = \sqrt{\frac{4\rho_e P_0}{(\epsilon + 2 \frac{\epsilon}{\rho}) mR}}$$

## MOVABLE QUANTUM DOTS (MQD)

Oscillation frequency is of the order of a terahertz

$$\omega_0 \rho / 2 \sim 1 \text{ THz to } 30 \text{ THz}$$

**SWITCH OR SHIFT FREQUENCY  
BY EXTERNAL FIELD OR BY LIGHT**



# New Physics of Movable Quantum Dots

- Self-assembled quantum dot arrays
- Coulomb blockade
- Light concentration in quantum dots
- Left handed materials

# New Potential Applications

- Terahertz detectors
- Terahertz emitters
- Terahertz mixers
- Photonic terahertz devices
- Photonic crystals
- Plasmonic crystals
- Solar cells and thermo voltaic cells



# New features of electron transport in nitrides

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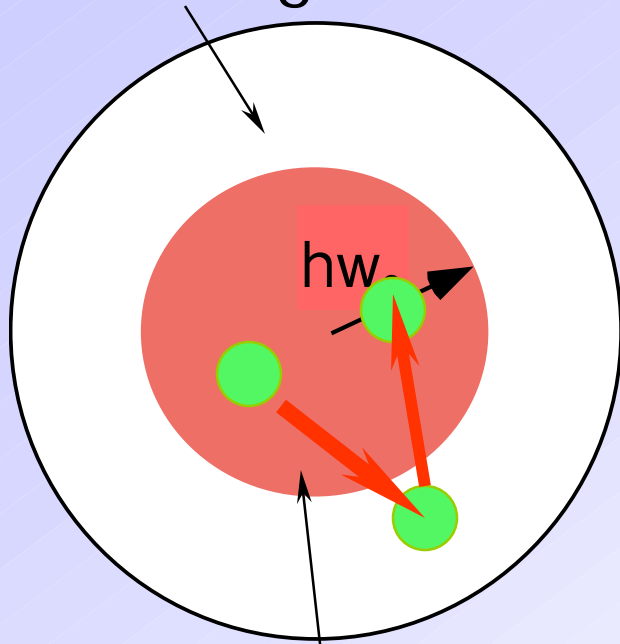
- Large polar optical phonon energy leads to two step scattering optical photon absorption and re-emission resulting in an elastic scattering process [1]
- In high electric fields, an electron runaway plays a key role [2]. The runaway effects are enhanced in 2D electron gas [3]
- In very short GaN-based structures, ballistic and overshoot effects are very pronounced [4]

1. B. Gelmont, K. S. Kim, and M. S. Shur, *J. Appl. Phys.* **74**, 1818 (1993)
2. B. E. Foutz, S. K. O'Leary, M. S. Shur, and L. F. Eastman, *Solid State Communications.* **118**, 79(2001)
3. A. Dmitriev, V. Kachorovskii, M. S. Shur, and M. Stroschio, *International Journal of High Speed Electronics and Systems*, **10**, 103 (2000)
4. B. E. Foutz, S. K. O'Leary, M. S. Shur, and L. F. Eastman, *J. Appl. Phys.* **85**, 7727 (1999)

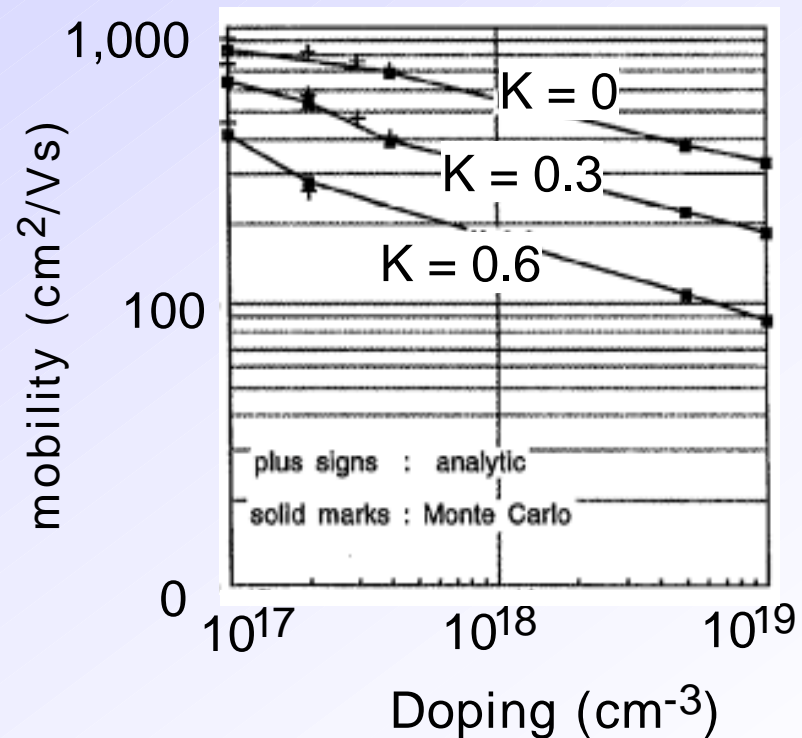


# Consequence of high polar optical energy: two step optical polar scattering process

Active region



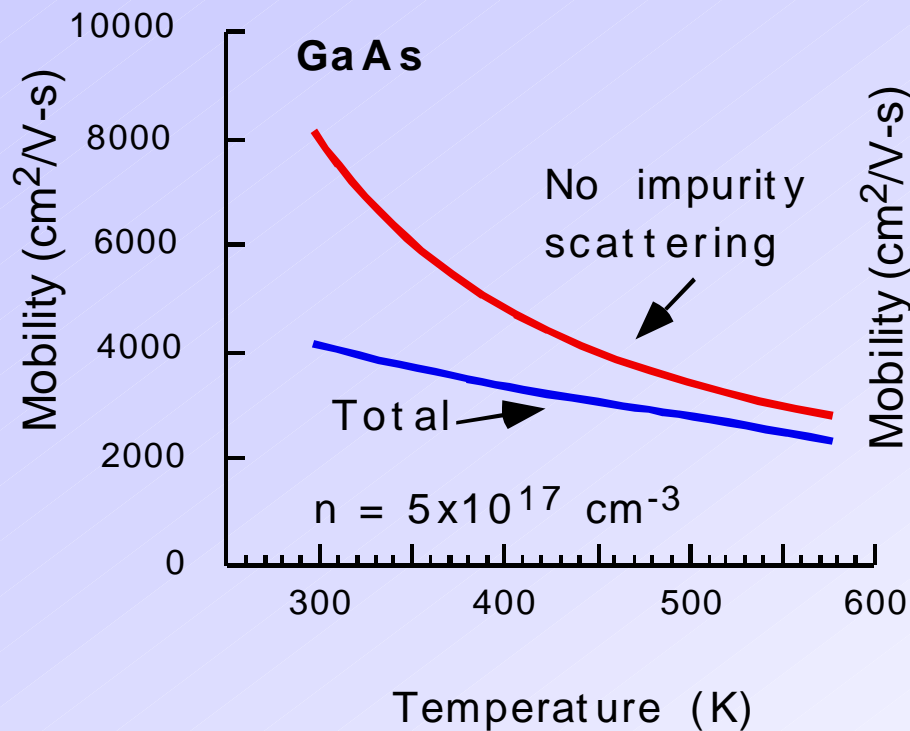
Passive region



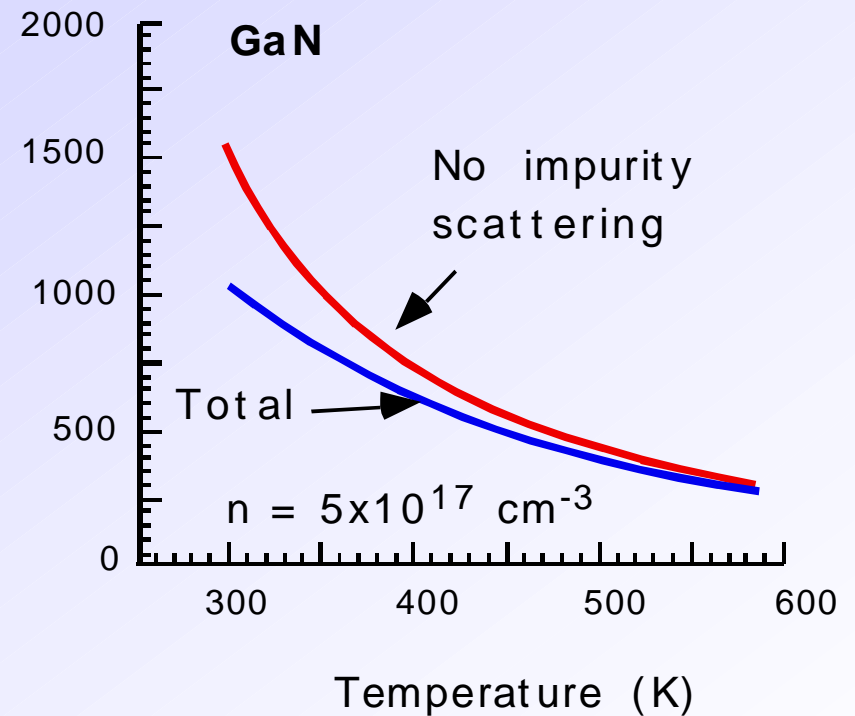
From B. Gelmont, K. S. Kim, and M. S. Shur, *J. Appl. Phys.* **74**, 1818 (1993)



# Temperature dependencies



(a)



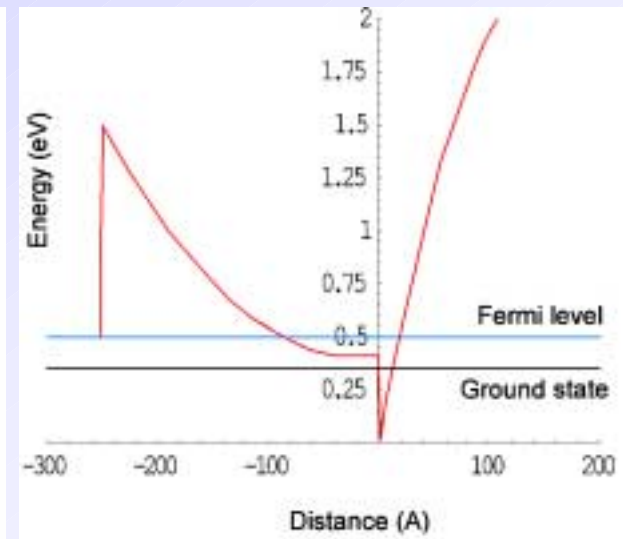
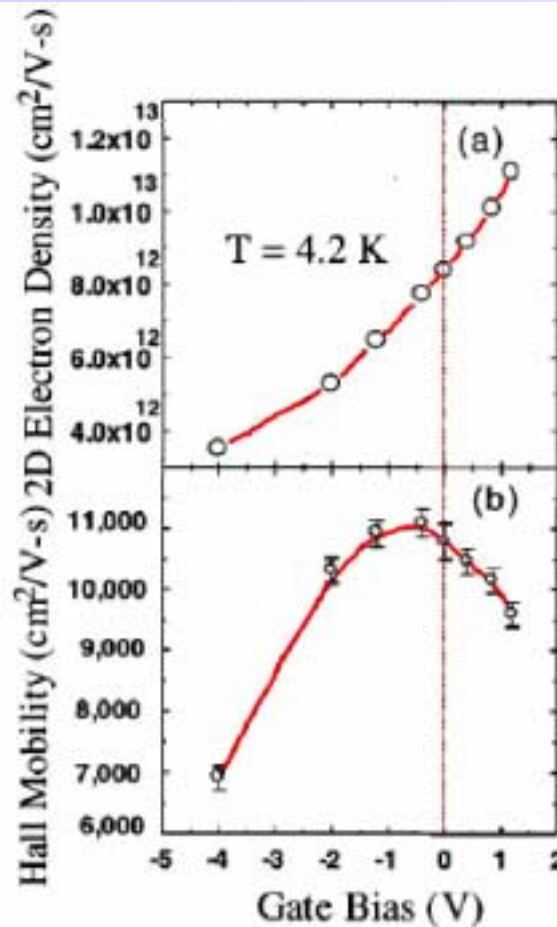
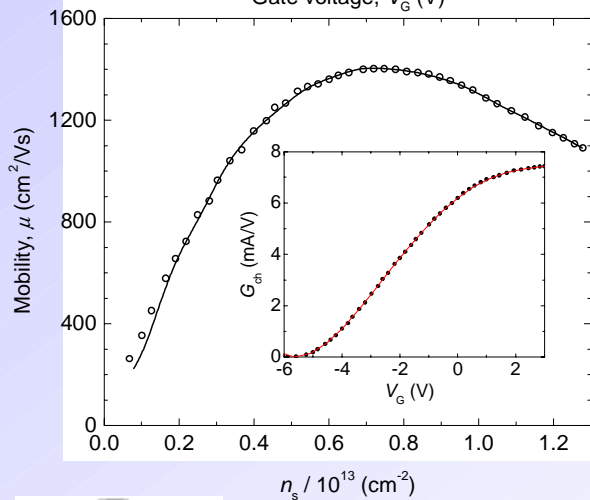
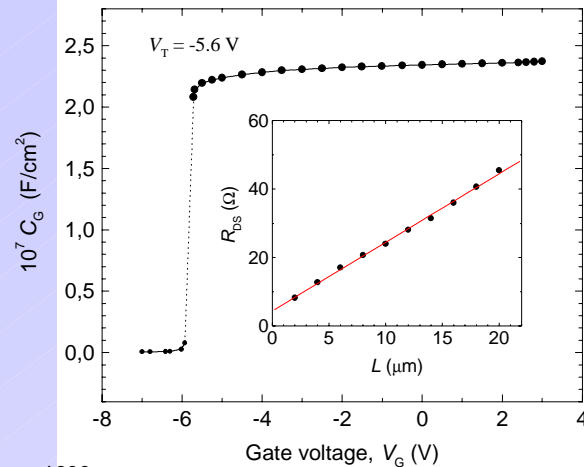
(b)

Weaker contribution of impurity scattering for GaN because of heavier mass

After M. S. Shur, *Solid State Electronics*, **42**, 2131 (1998)



# Evidence of penetration into AlGaN or 2D-3D transition



X. Hu, J. Deng, N. Pala, R. Gaska, M. S. Shur, C. Q. Chen, J. Yang, S. Simin, and A. Khan, C. Rojo, L. Schowalter, AlGaN/GaN Heterostructure Field Effect Transistor on Single Crystal Bulk AlN, *Appl. Phys. Lett.*, 82, No 8, pp. 1299-1302, 24 Feb (2003)

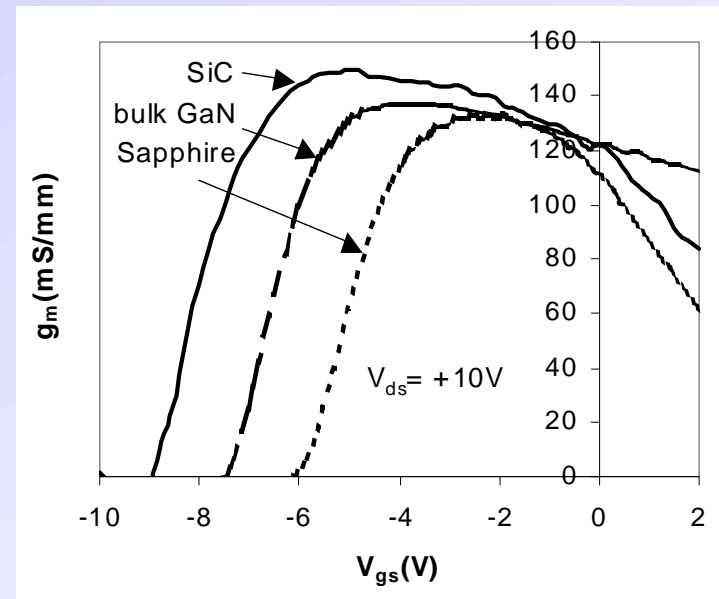
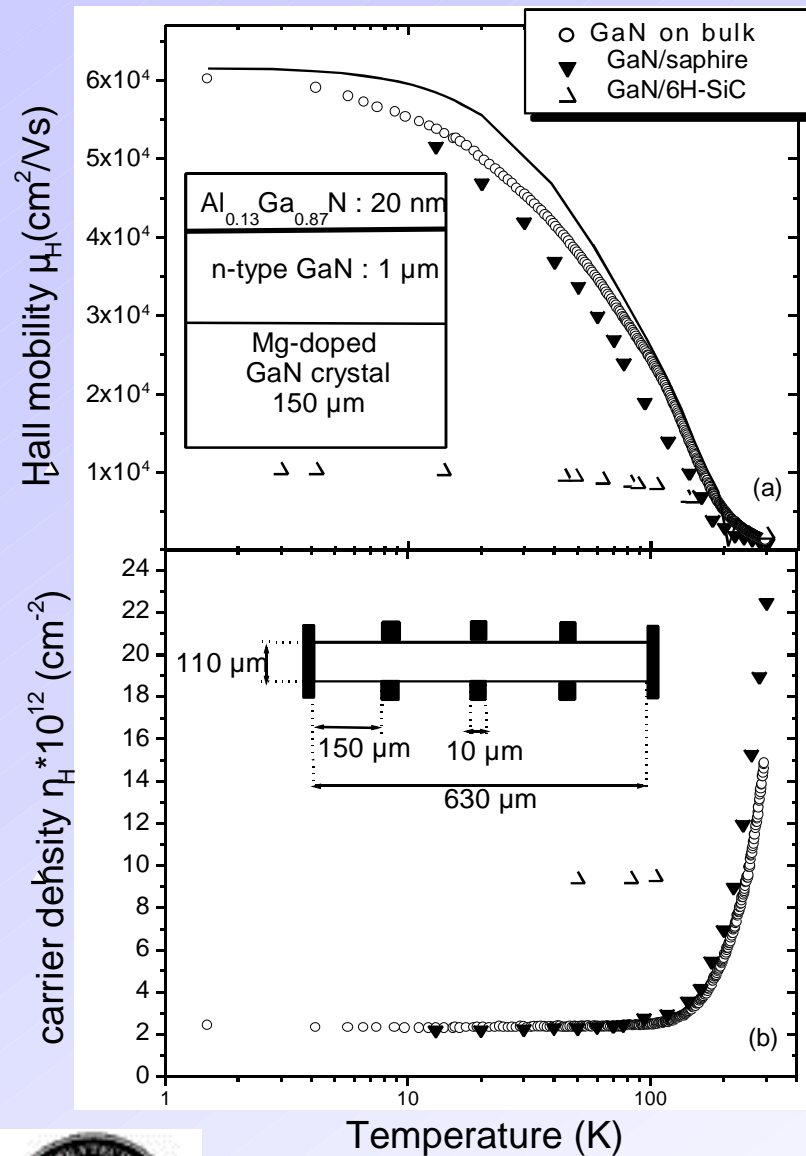


From R. Gaska, M. S. Shur, A. D. Bykhovski, A. O. Orlov, and G. L. Snider, *Appl. Phys. Lett.* **74**, 287 (1999)

shurm@rpi.edu



# Effect of Substrates: Bulk GaN on GaN



After M. Asif Khan and J. W. Yang, W. Knap, E. Frayssinet, X. Hu and G. Simin, P. Prystawko, M. Leszczynski, Grzegory, S. Porowski, R. Gaska, M. S. Shur, B. Beaumont, M. Teisseire, and G. Neu, GaN-AlGa<sub>n</sub> Heterostructure Field Effect Transistors Over Bulk GaN Substrates, *Appl. Phys. Lett.* 76, No. 25, pp. 3807-3809, 19 June (2000)

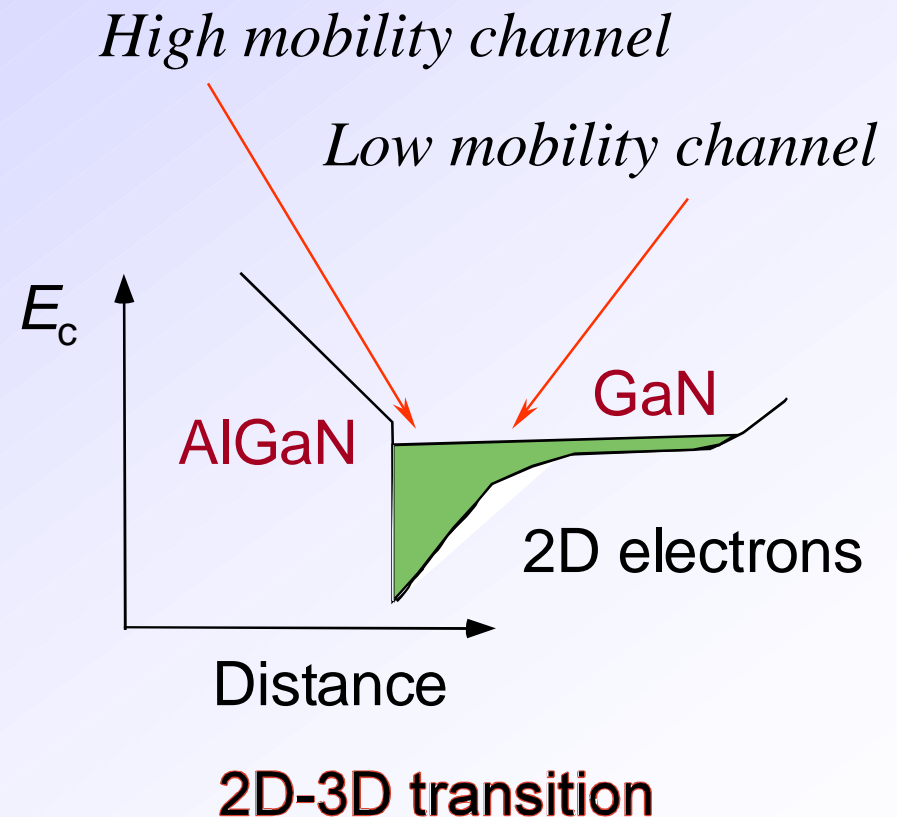
From E. Frayssinet, W. Knap, P. Lorenzini, N. Grandjean and J. Massies, C. Skierbiszewski, T. Suski, I. Grzegory, S. Porowski, G. Simin, X. Hu, M. Asif Khan, M. Shur, R. Gaska, and D. Maude, *Applied Physics Letters*, 77, 2551 (2000)



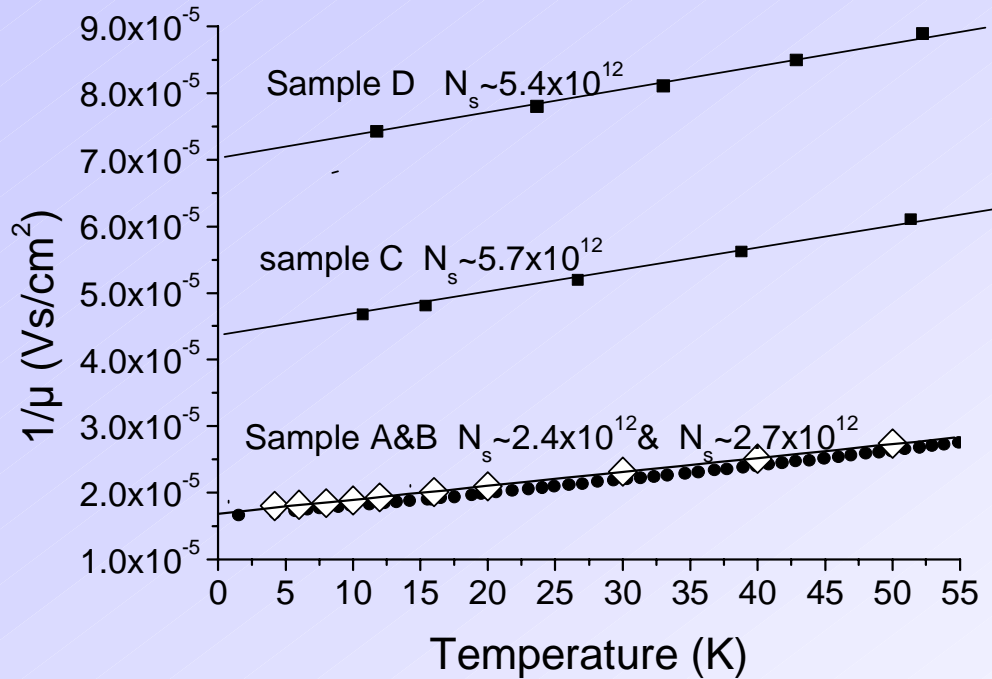
# Shubnikov de Hass (SdHO) and magnetoresistance results

- Parallel conduction model yields the room-temperature 2D carrier mobility exceeded 2,650  $\text{cm}^2/\text{Vs}$

After E. Frayssinet, W. Knap, P. Lorenzini, N. Grandjean and J. Massies, C. Skierbiszewski, T. Suski, I. Grzegory, S. Porowski, G. Simin, X. Hu, M. Asif Khan, M. Shur, R. Gaska, and D. Maude, *Applied Physics Letters*, **77**, 2551 (2000)



# Cryogenic low field transport: Acoustic Scattering Inverse Linear Dependence at Low Temperatures



Extracted  
Deformation potential

$$\underline{a_c = 8.1 \text{ 0.2 eV}}$$

$$\frac{1}{\mu} = \alpha T + \frac{1}{\mu_0}$$

From W. Knap, E. Borovitskaya, M. S. Shur, L. Hsu, W. Walukiewicz, E. Frayssinet, P. Lorenzini, N. Grandjean, C. Skierbiszewski, P. Prystawko, M. Leszczynski, I. Grzegory, *Appl. Phys. Lett.*, **80**, 1228 (2002)



# New Features of Low Field Transport

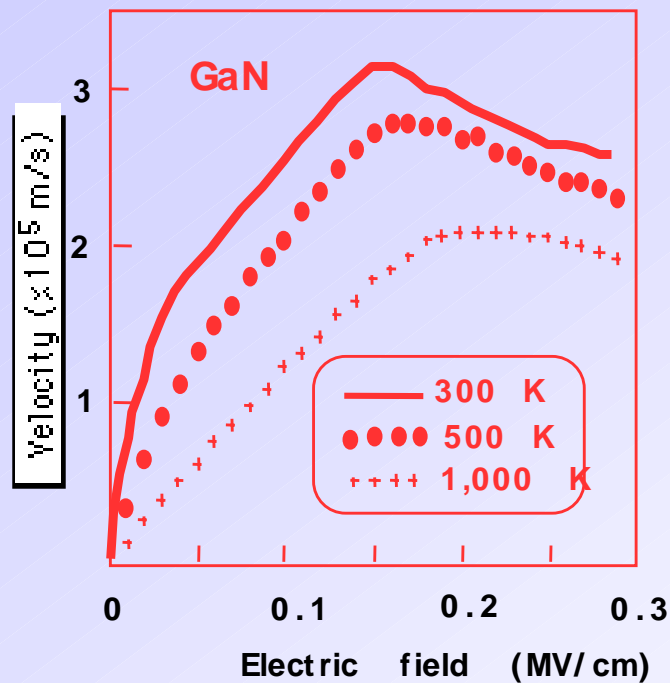
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- “Quasi-elastic” optical polar scattering
- 2D electron wave function penetration into AlGaN in undoped GaN channels
- 2D-3D electron transition in doped GaN channels
- Limiting role of acoustic scattering at cryogenic temperatures

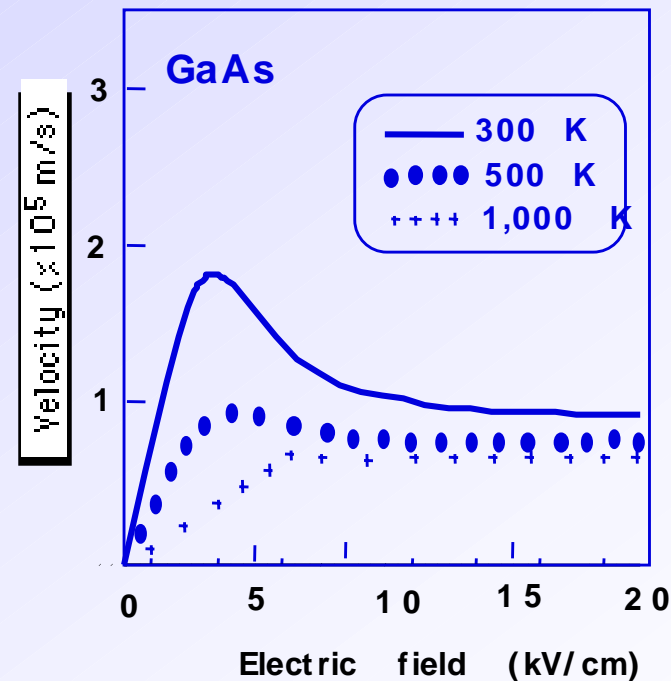


# High field transport in Nitrides

## Drift Velocity at Room and Elevated Temperatures



(a)

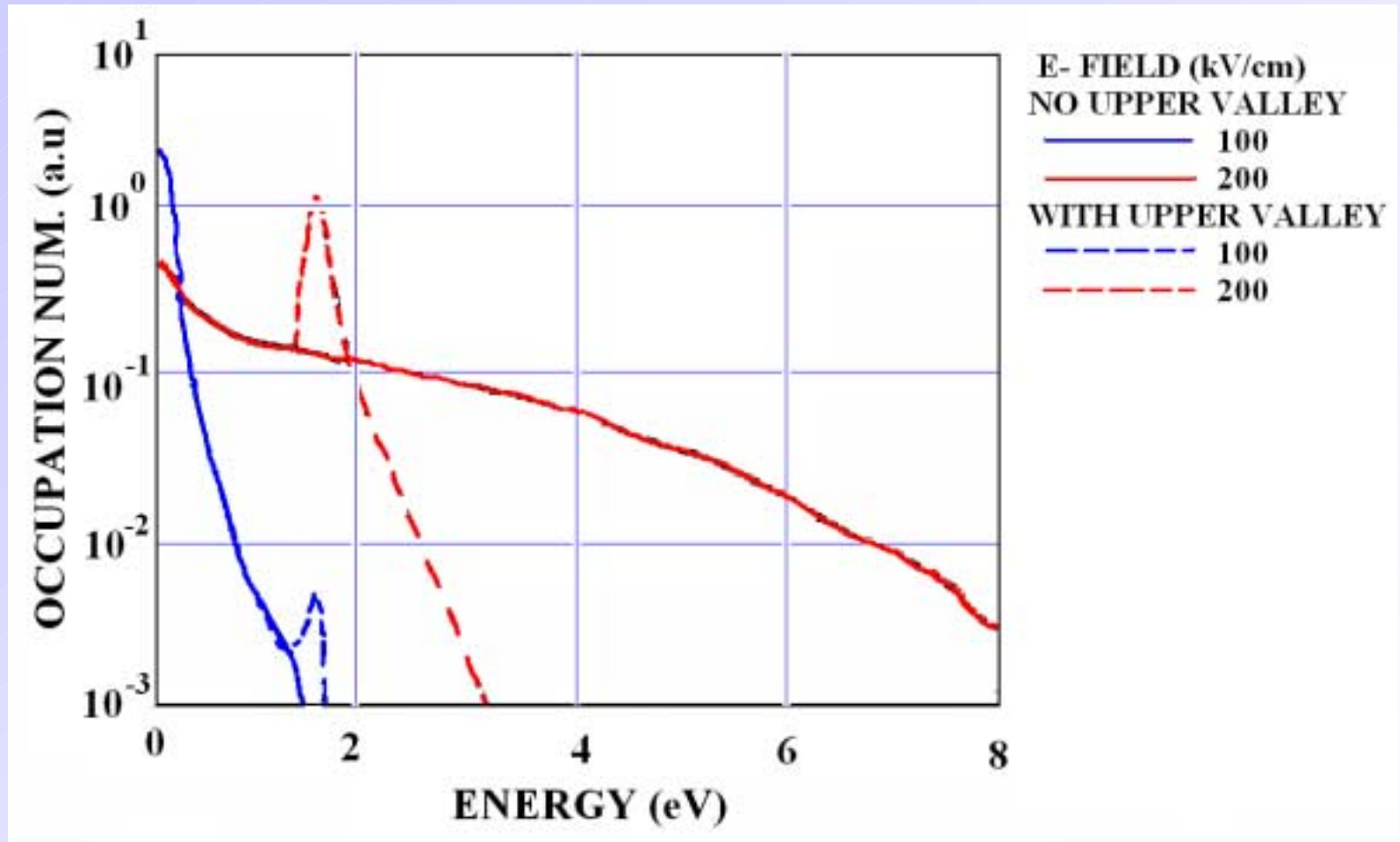


(b)

From: M. S. Shur, GaN and related materials for high power applications, Mat. Res. Soc. Symp. Proc., vol. 483, pp. 15-26 (1998)



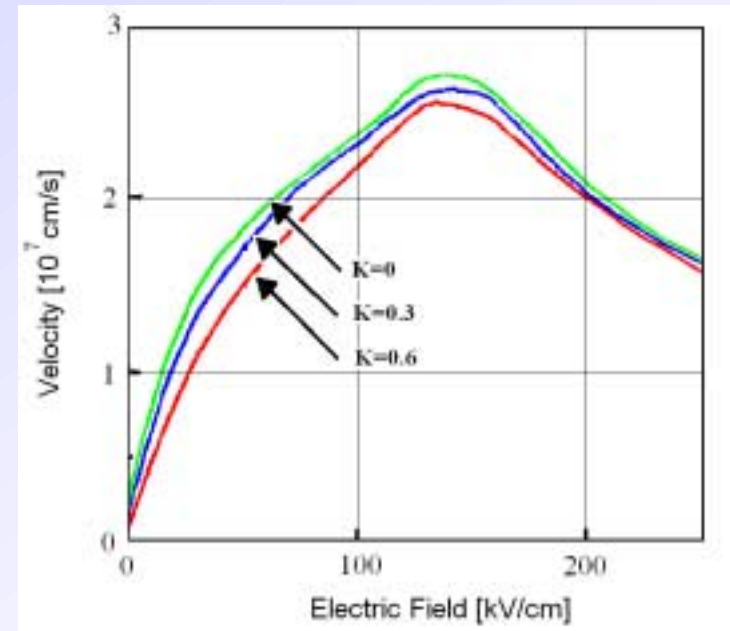
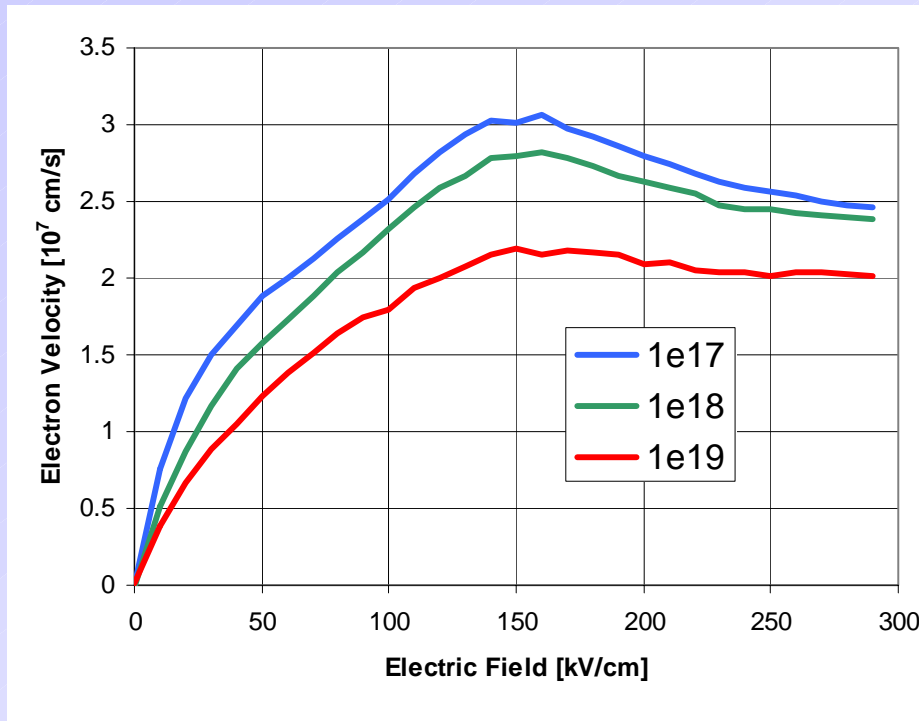
# Intervalley Transition



From B. Gelmont, K. S. Kim, and M. S. Shur, *J. Appl. Phys.* **74**, 1818 (1993)



# Velocity-field characteristics of GaN: effect of doping and compensation

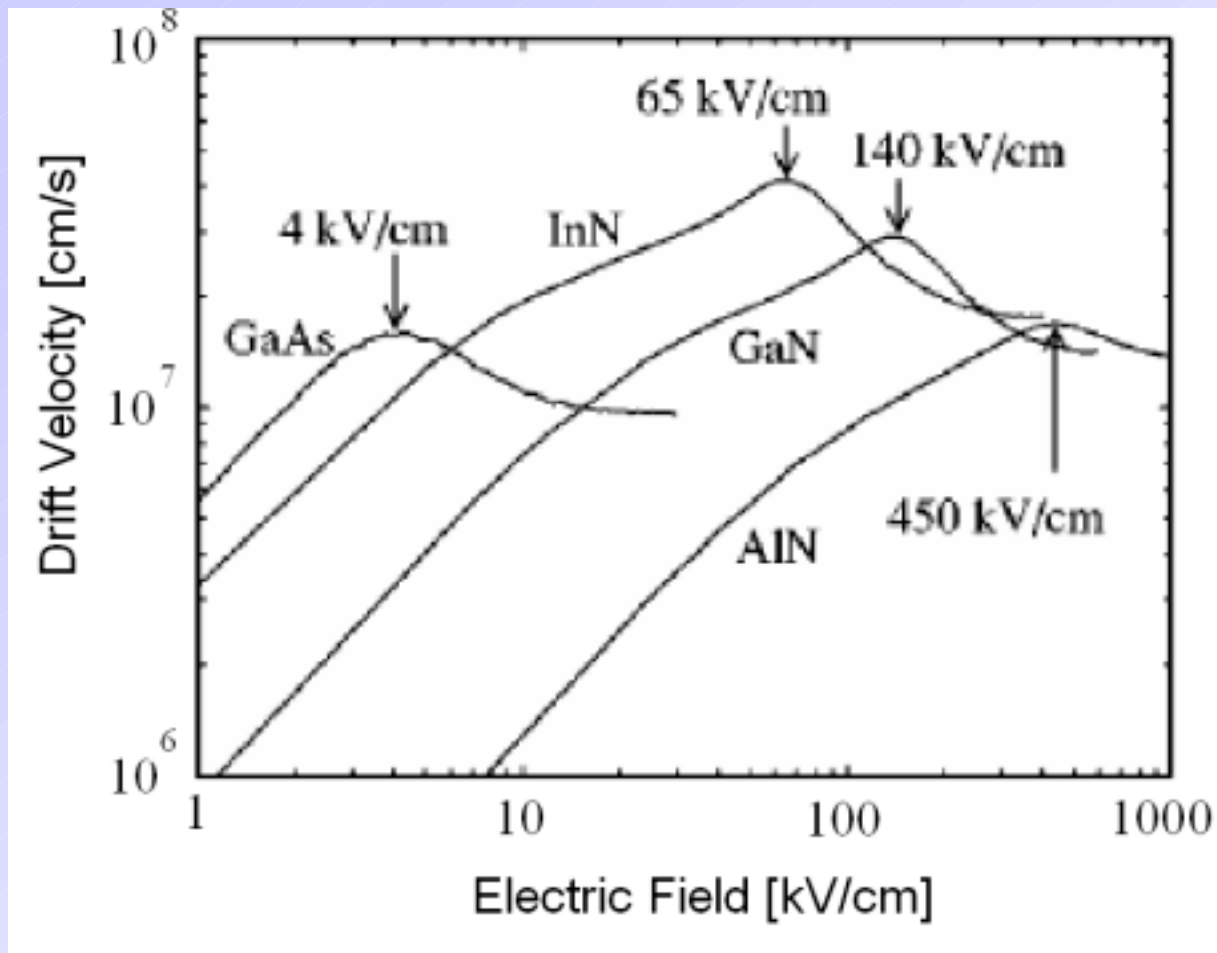


After B. E. Foutz, L. F. Eastman, U. V. Bhapkar, M. S. Shur, Appl. Phys. Lett., 70, No 21, pp. 2849-2851 (1997)





# Velocity-Field Curves for Nitride Family



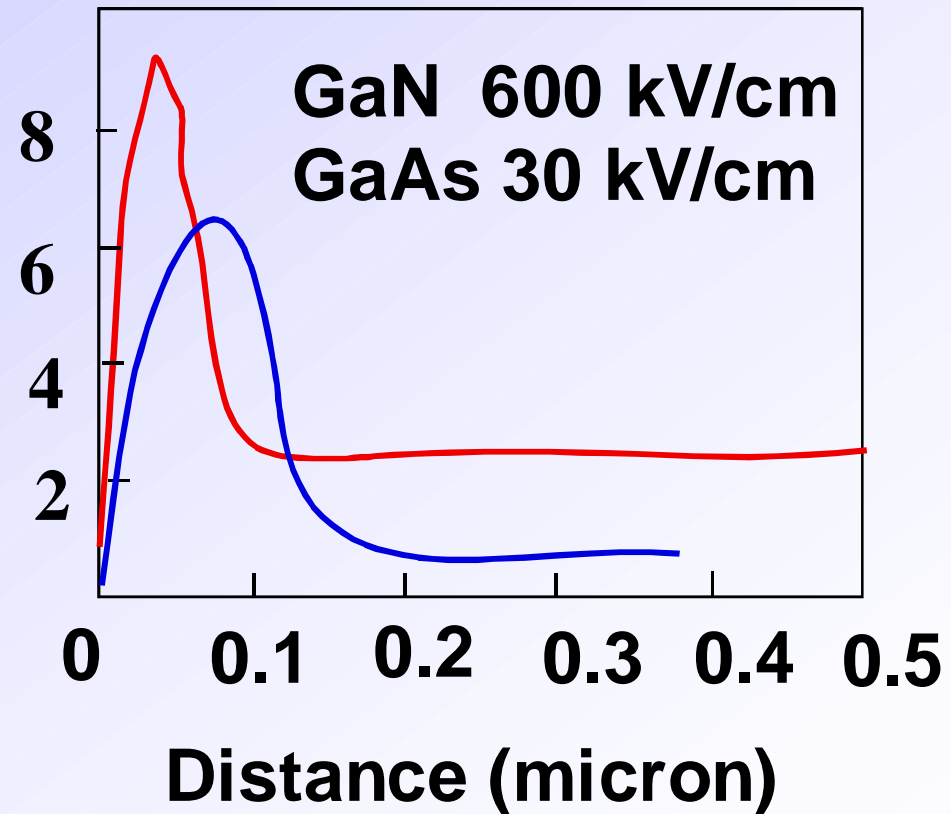
InN is the fastest nitride material

From B. E. Foutz, S. K. O'Leary, M. S. Shur, and L. F. Eastman, *J. Appl. Phys.* **85**, 7727 (1999)



# Ballistic and Overshoot Effects in GaN

Velocity ( $10^5$  m/s)

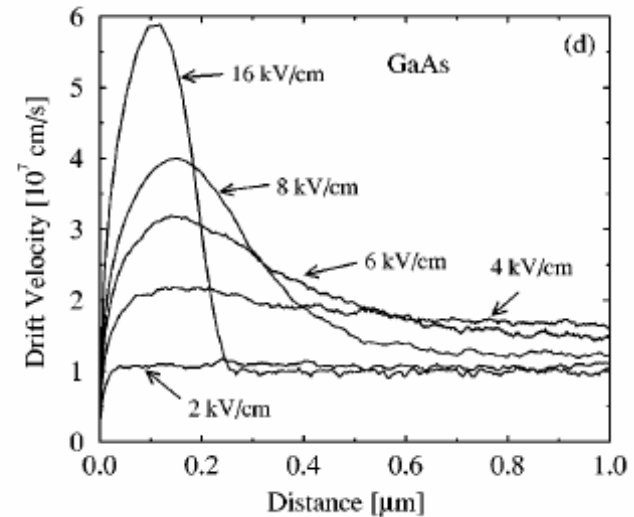
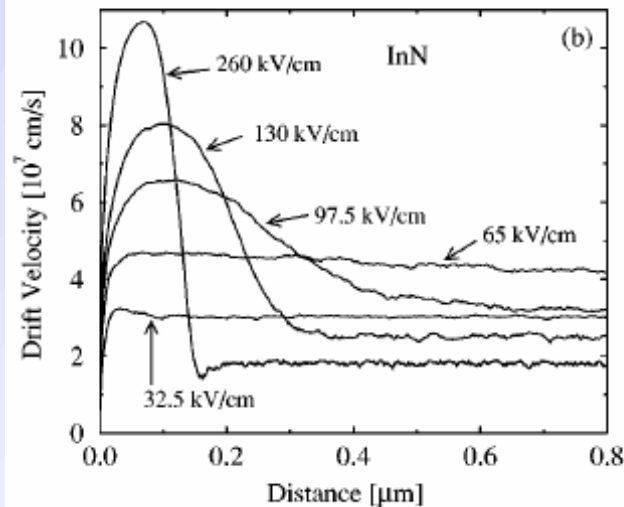
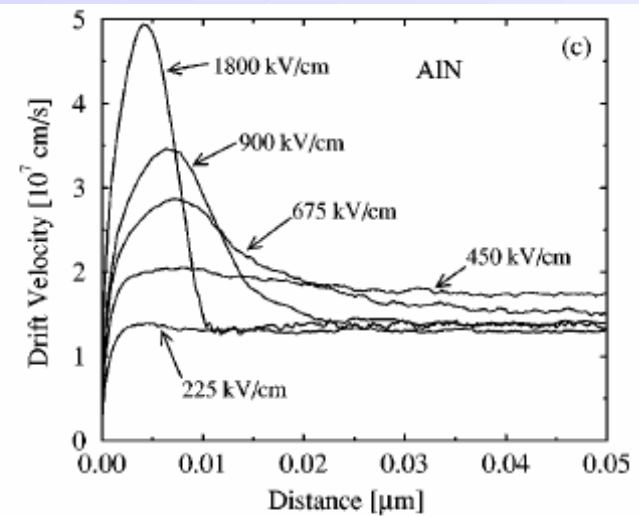
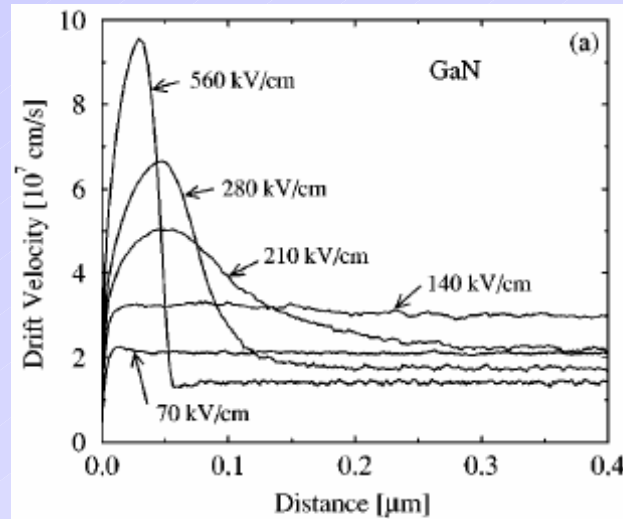


After B. E. Foutz, L. F. Eastman,  
U. V. Bhapkar, M. S. Shur, Comparison  
of High Electron Transport in GaN and  
GaAs, Appl. Phys. Lett., 70, No 21,  
pp. 2849-2851, 1997



# More on the overshoot

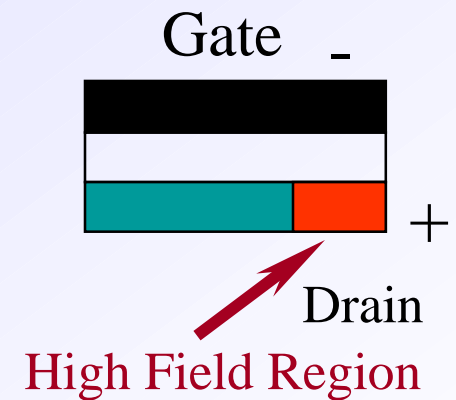
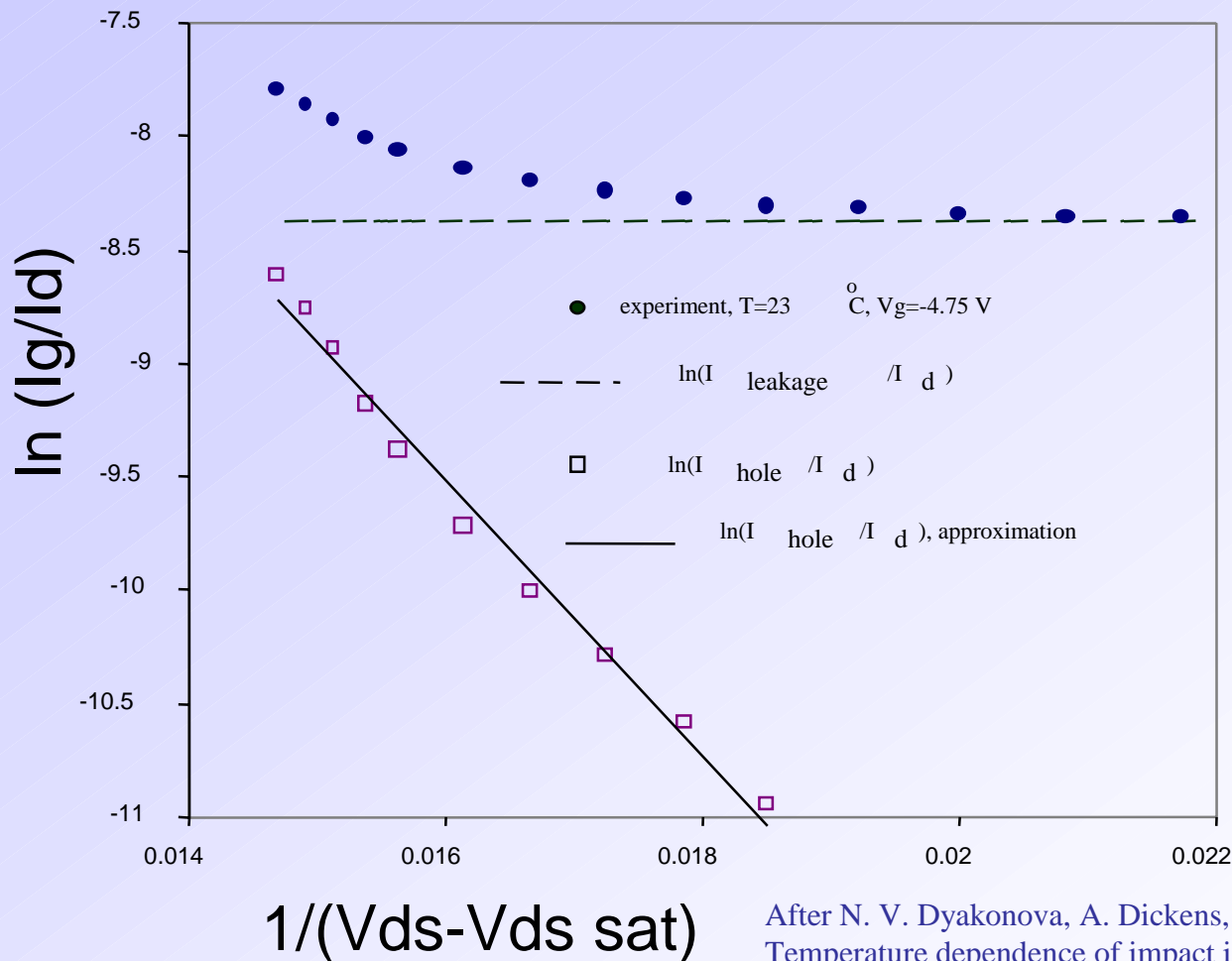
InN – higher overshoot at smaller fields



From B. E. Foutz, S. K. O'Leary, M. S. Shur, and L. F. Eastman,  
*J. Appl. Phys.* **85**, 7727 (1999)

# High Field Breakdown.

## Breakdown field measurement



After N. V. Dyakonova, A. Dickens, M. S. Shur, R. Gaska, J. W. Yang,  
 Temperature dependence of impact ionization in AlGaIn-GaN  
 Heterostructure Field Effect Transistors, Applied Physics Letters,  
 72 (20), pp. 2562-2564, May 18 (1998)



# Higher Breakdown Field in Heterostructures?

---

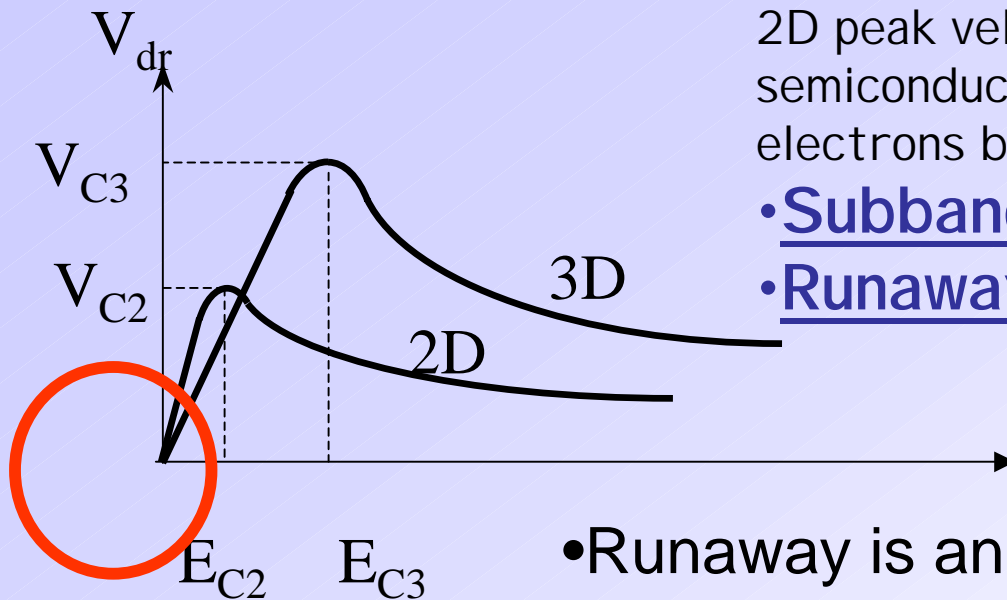


Breakdown field might be determined by cladding layers

(see M. Dyakonov and M. S. Shur, Consequences of Space Dependence of Effective Mass in Heterostructures, J. Appl. Phys. Vol. 84, No. 7, pp. 3726-3730, October 1 (1998))



# 3D and 2D Velocity-Field Characteristics



2D peak velocity and peak field in compound semiconductors are smaller than for 3D electrons because of

- Subband shift
- Runaway effect

- Runaway is an unstable situation when
- the electron energy loss as a result of the
- collision is smaller than the average
- energy gain in the electric field during
- the free light time

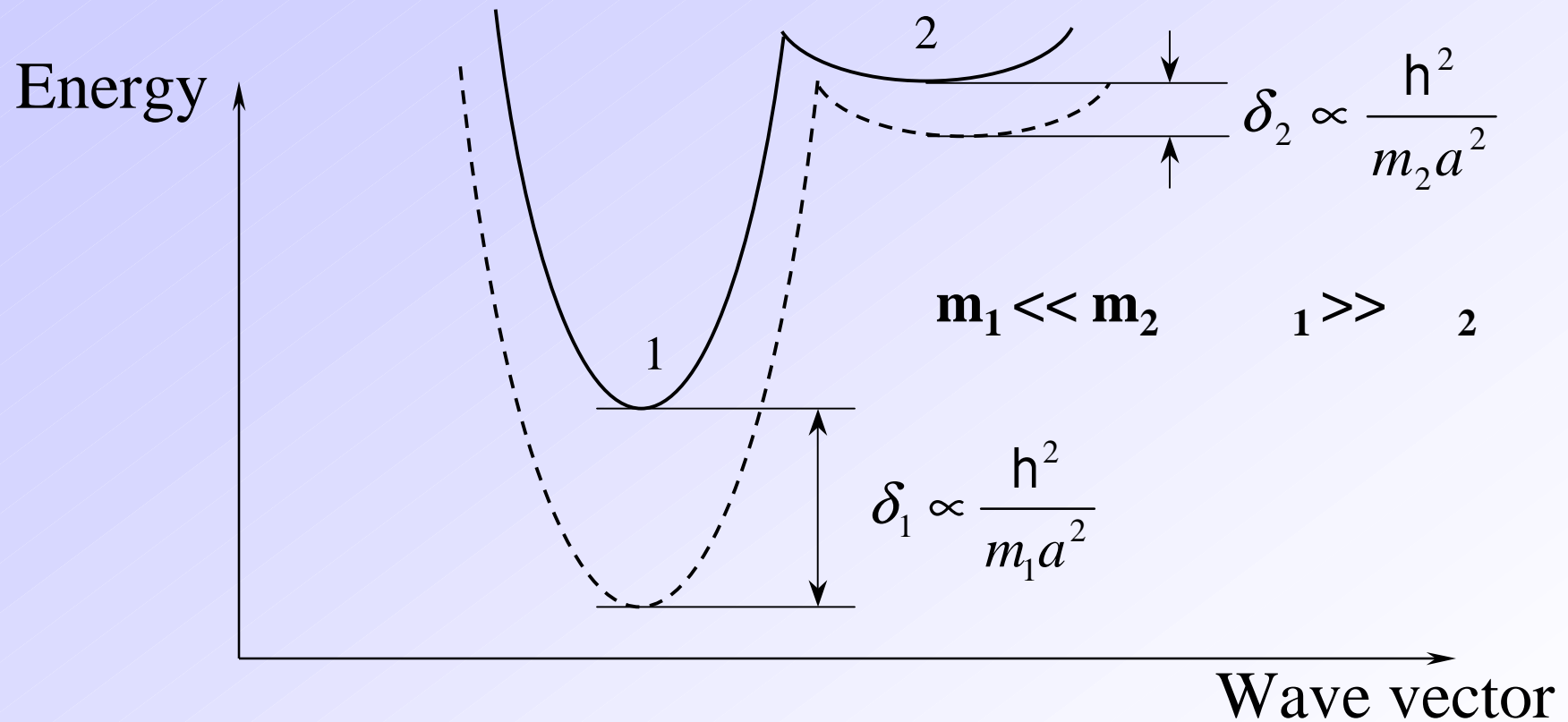
Both  $E_C$  and  $V_C$  are smaller for 2D case than for 3D case:

$$E_{C2} < E_{C3}$$

$$V_{C2} < V_{C3}$$



# Shift of Valley Bottoms in 2D Case



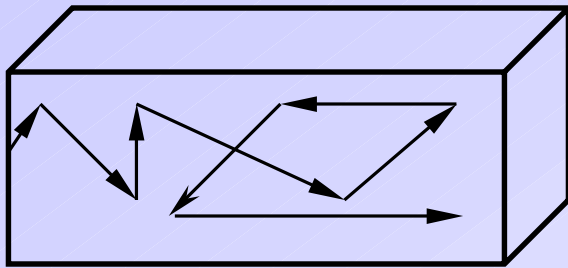
The bottom of the upper valley (2) with heavy mass rises less than the bottom of the central valley (1) with light mass.





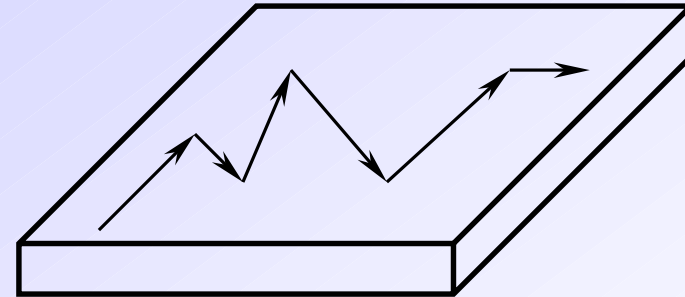
# Comparison of Runaway in 2D and 3D Systems

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## 3D Case

- Deformation scattering on acoustic and optical phonons does not lead to runaway
- Polar optical scattering (**forward!**) leads to runaway



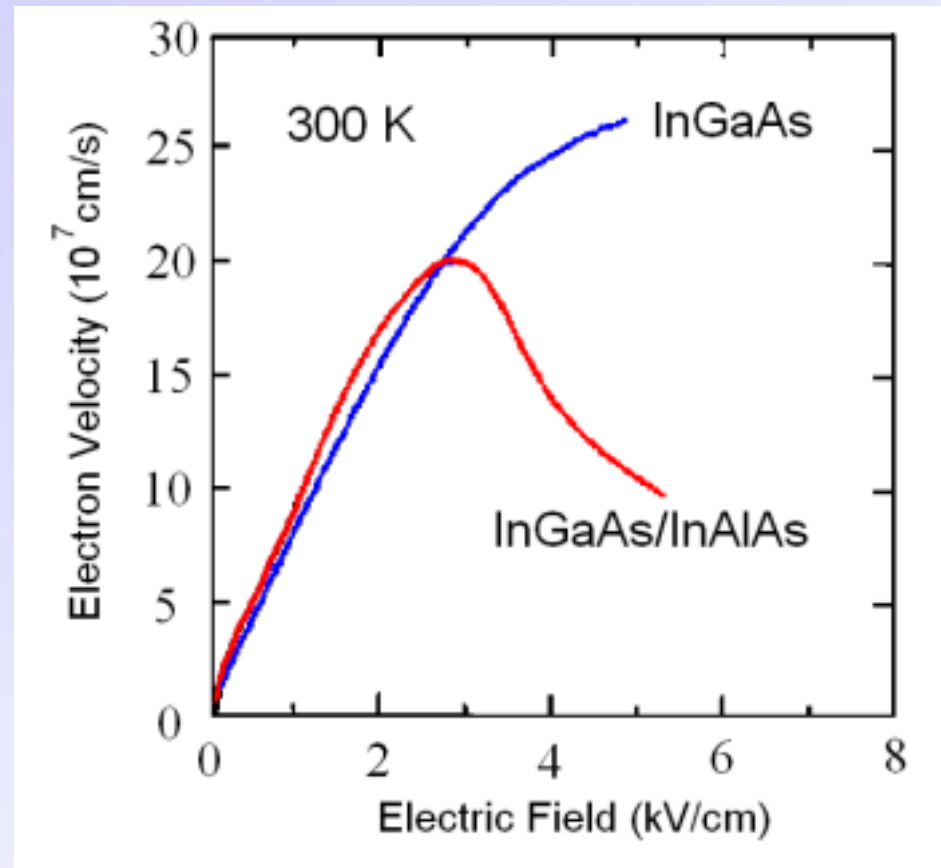
## 2D Case

- Even deformation scattering leads to runaway



# Experimental data

After V.T. Masselink,  
Applied Physics Letters,  
vol. 67(6), 801-805, 1995



Comparison of electron velocity in lightly doped  $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$  with that in a  $\text{InGaAs/InAlAs}$  modulation-doped heterostructure



# New physics of 2D transport

- High field velocity is smaller than in bulk (because of runaway)
- Impact ionization in quantum well is determined by cladding layers

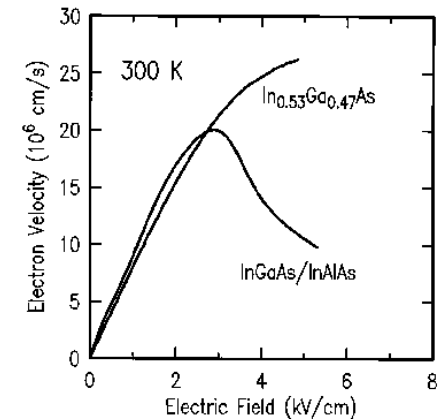
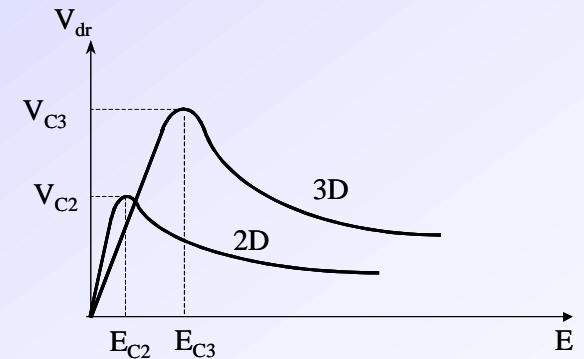
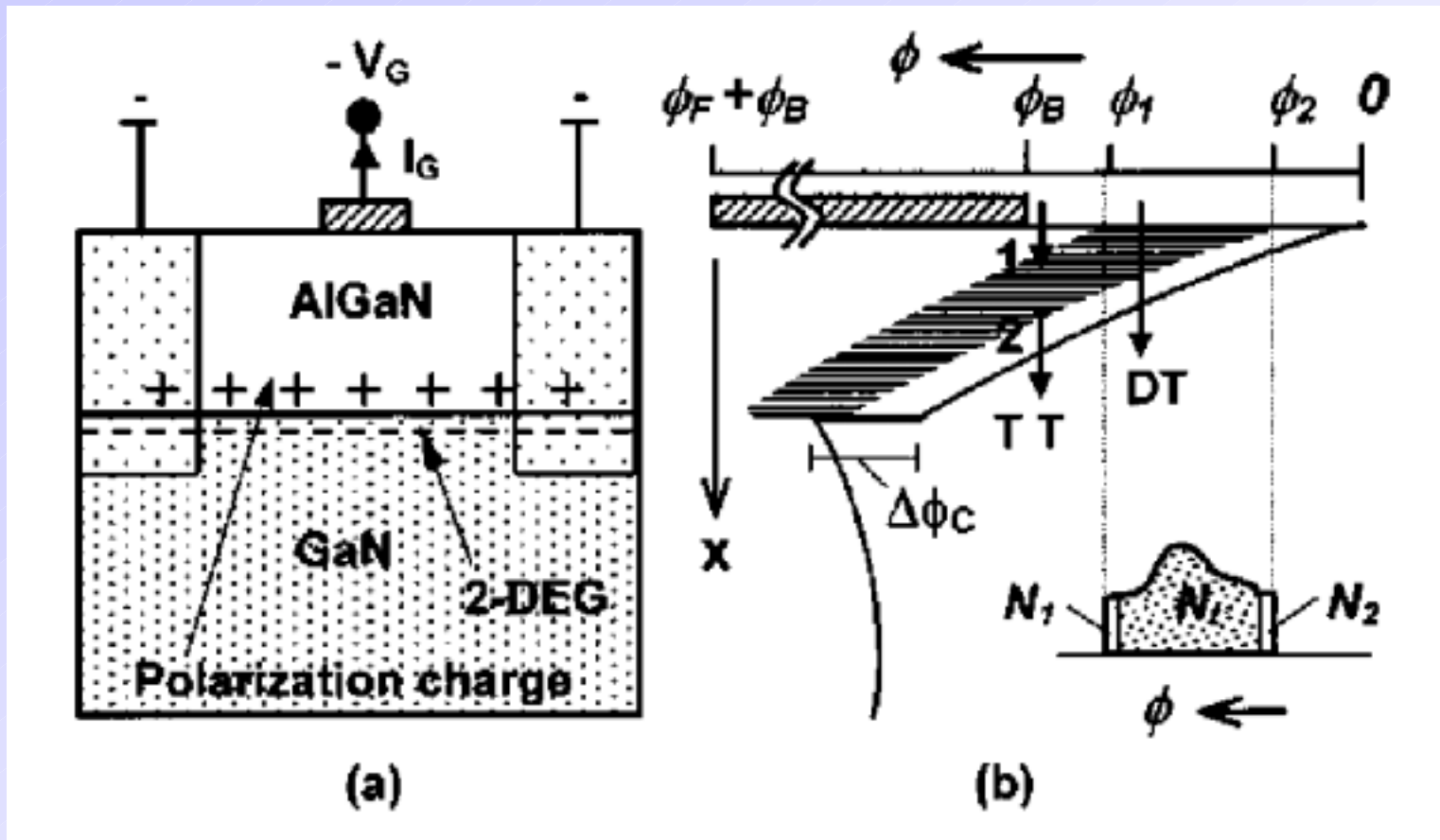


FIG. 2. Comparison of electron velocity in lightly doped  $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$  with that in a  $\text{InGaAs/InAlAs}$  modulation-doped heterostructure.

Experimental data after V.T. Masselink,  
Applied Physics Letters,  
vol. 67(6), 801-805, 1995



# Trapping in Nitrides: Reverse Gate Leakage Modeling

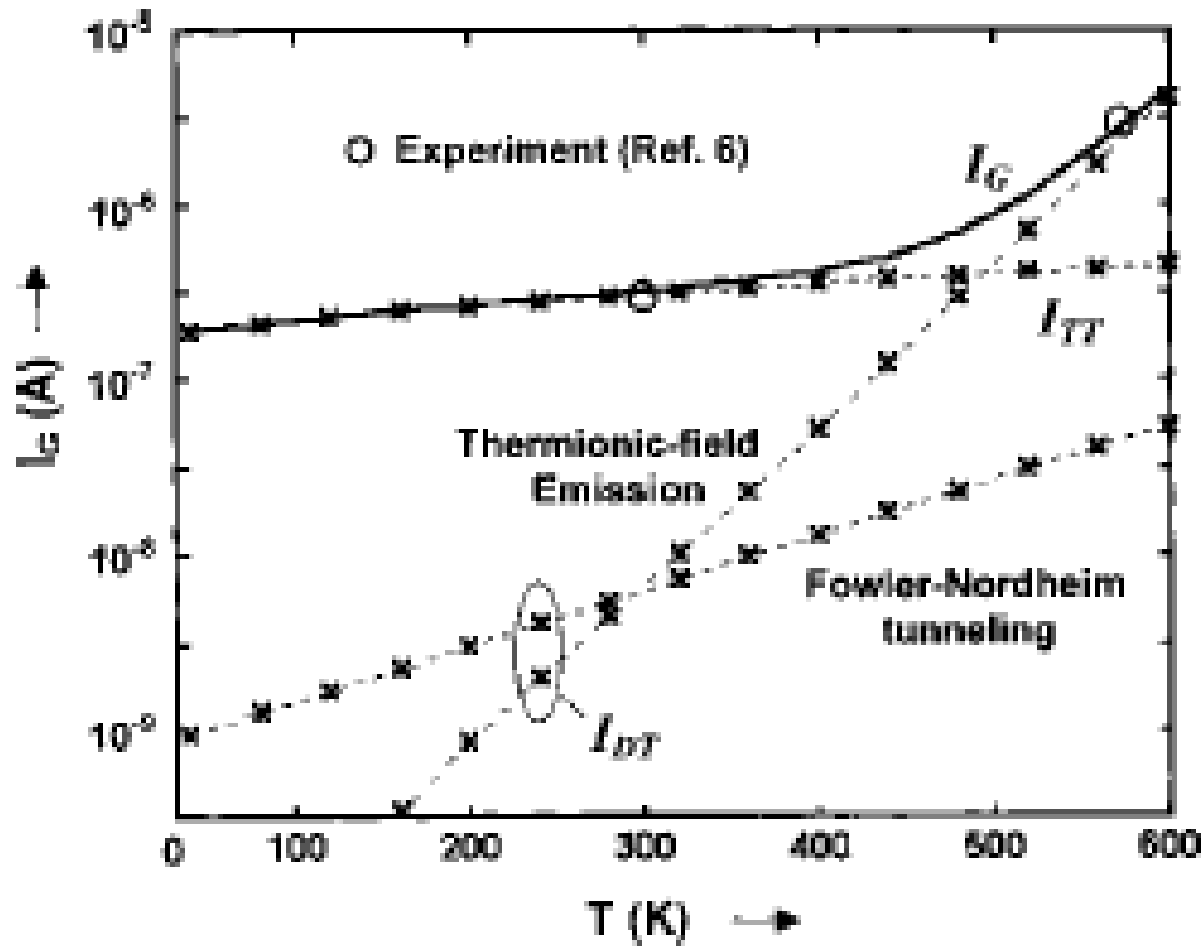


## Direct tunneling and trap-assisted tunneling



From S. Karmalkar, D. Mahaveer Sathaiya, M. S. Shur, Mechanism of the Reverse Gate Leakage in AlGaN / GaN HEMTs, Appl. Phys. Lett. **82**, 3976-3978 (2003)

# Comparison with Experiment

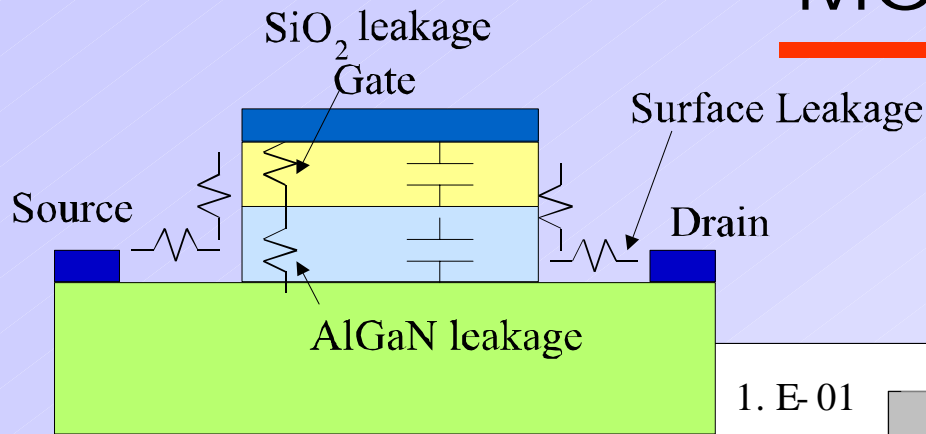


From S. Karmalkar, D. Mahaveer Sathaiya, M. S. Shur, Mechanism of the Reverse Gate Leakage in AlGaIn / GaN HEMTs, Appl. Phys. Lett. **82**, 3976-3978 (2003)

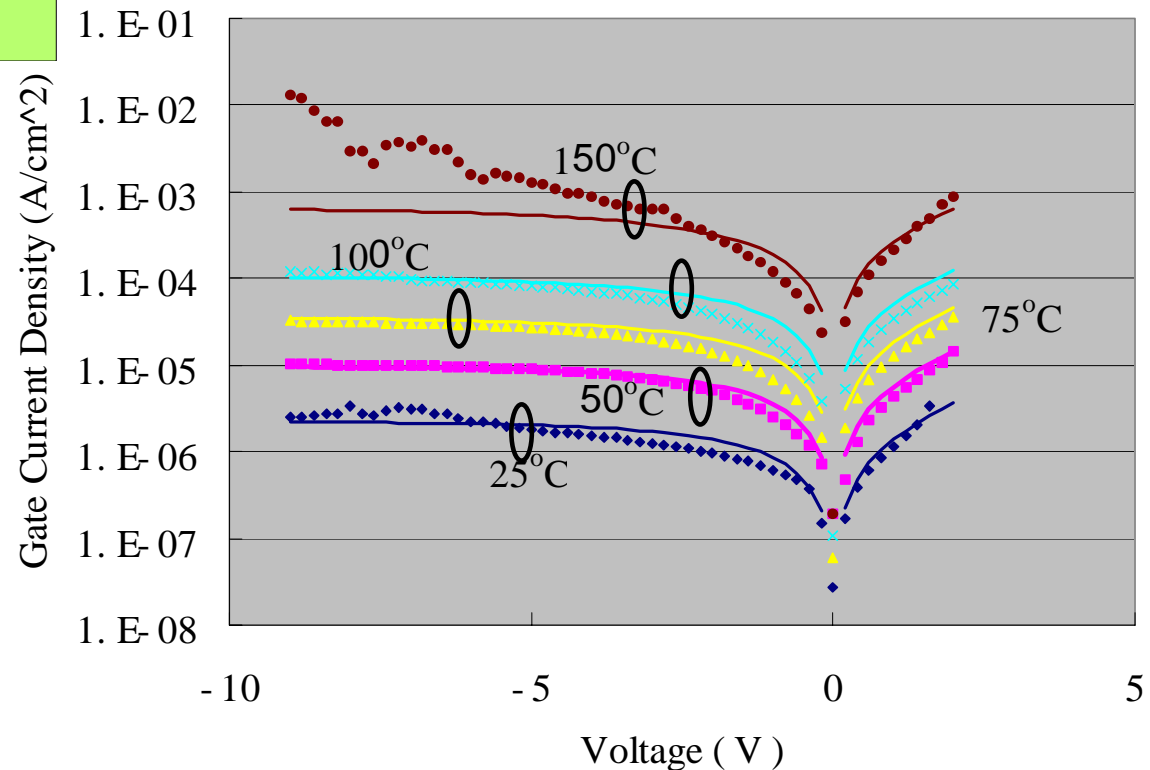
[shurm@rpi.edu](mailto:shurm@rpi.edu)

51

# MOSHFET Gate Leakage

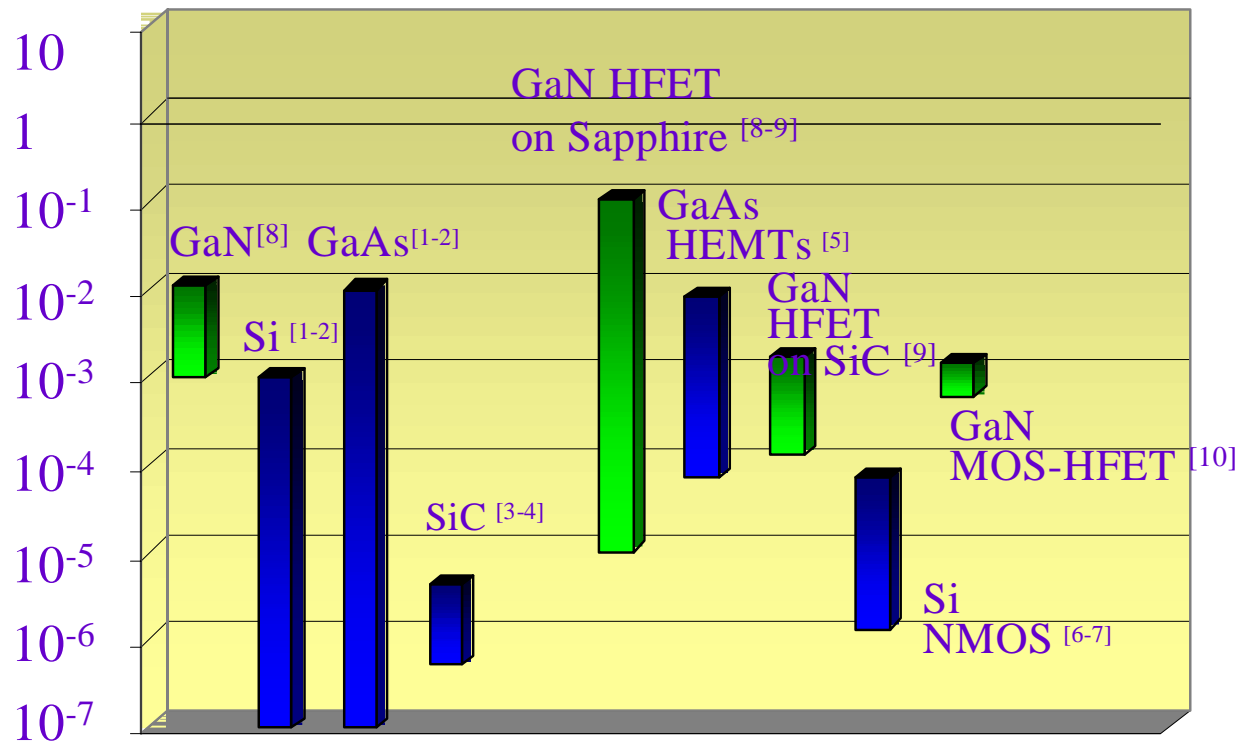


Traps and leakage:  
Complexities of  
highly non-ideal  
systems



From F. W. Clarke, Fat Duen Ho, M. A. Khan, G. Simin, J. Yang, R. Gaska, M. S. Shur, J. Deng, S. Karmalkar, Gate Current Modeling for Insulating Gate III-N Heterostructure Field-Effect Transistors, Mat. Res. Symp. Proc. Vol. 743, L 9.10 (2003)

# GaN 1/f Noise surprises



Device noise is smaller than materials noise!!!





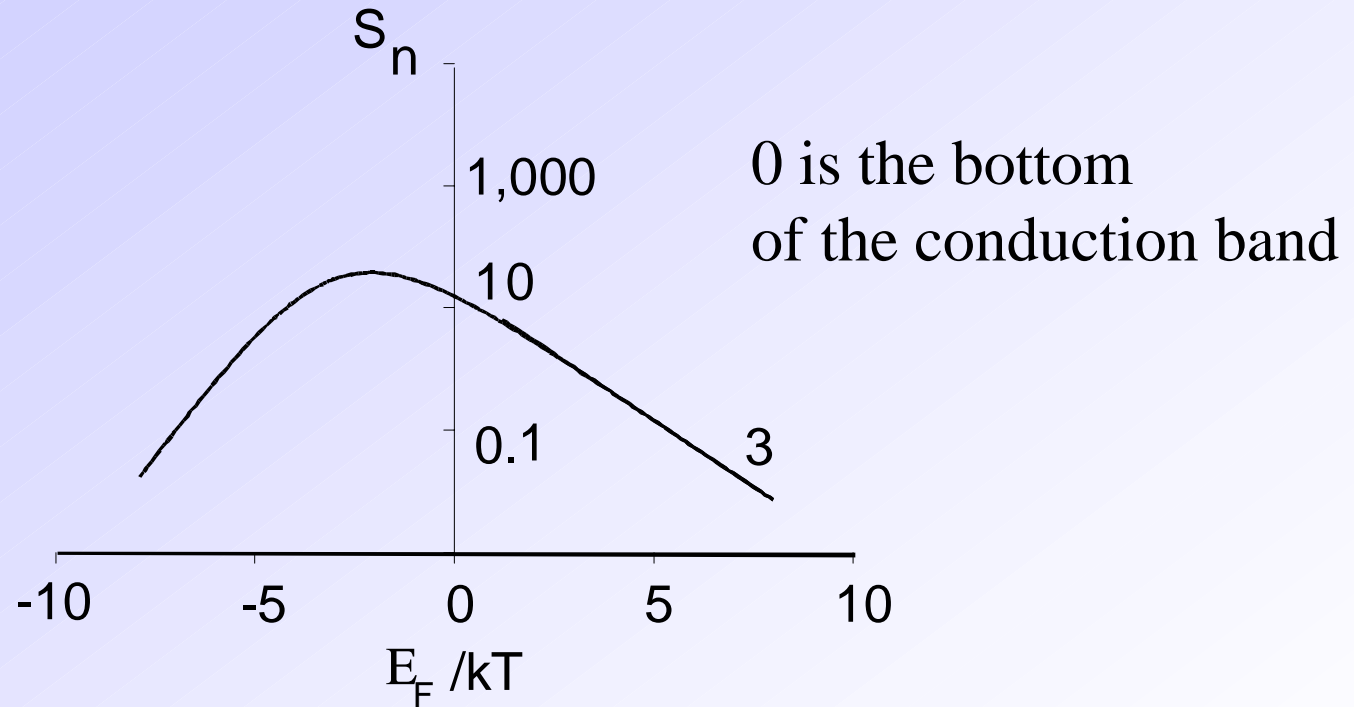
# Data from

- [1] R.H. Clevers, Volume and temperature dependence of the  $1/f$  noise parameter  $\alpha$  in Si, *Physica B* Vol.154, pp. 214-224, (1989)
- [2] F.N. Hooge, M. Tacano, Experimental studies of  $1/f$  noise in n-GaAs *Physica B* Vol.190, pp. 145-149, (1993)
- [3] M. Levinshtein, S. Romyantsev, J. Palmour, and D. Slater, Low frequency noise in 4H Silicon Carbide, *Journ. Appl. Phys.* Vol. 81, No.4, 1758-1762, (1997))
- [4] M. Tacano and Y. Sugiyama, Comparison of  $1/f$  noise of AlGaAs/GaAs HEMTs and GaAs MESFETs, *Solid State Elect.* Vol.34. No 10, pp. 1049-53,(1991).
- [5] D. Fleetwood, T.L. Meisenheimer, J. Scofield,  $1/f$  noise and radiation effects in MOS devices *IEEE Trans. Electron Dev.* Vol. 41, No 11, pp. 1953-1964 (1994)
- [6] L.K. J Vandamme, X. Li, D. Rigaud,  $1/f$  noise in MOS devices, mobility or number fluctuations? *IEEE Trans. Electron Dev.* Vol. 41, No:11, pp. 1936-1945 (1994)
- [7] A. Balandin, S. Morozov, G. Wijeratne, C. Cai, L. Wang, C. Viswanathan, Effect of channel doping on the low-frequency noise in GaN/AlGaN heterostructure field-effect transistors, *Appl. Phys. Lett.* V. 75, No 14 pp. 2064-2066 (1999)
- [8] S. Romyantsev, M.E. Levinshtein, R. Gaska, M. S. Shur, J. W. Yang, and M. A. Khan, Low-frequency noise in AlGaIn/GaN heterojunction field effect transistors on SiC and sapphire substrates" *Journal of Applied Physics*, Vol. 87, No4, pp. 1849-1854 (2000)
- [9] N. Pala, R. Gaska, S. Romyantsev, M. S. Shur M. Asif Khan, X. Hu, G. Simin, and J. Yang, Low frequency noise in AlGaIn/GaN MOS-HFETs, *Electronics Lett.* Vol.36,No.3, pp. 268-270, (2000)



A relatively low level of noise in GaN-based HFETs is related to a very high density of channel carriers

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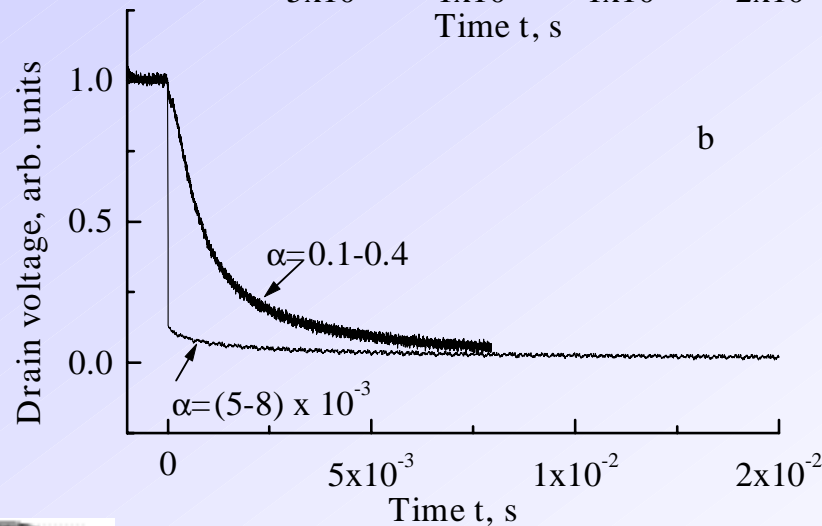
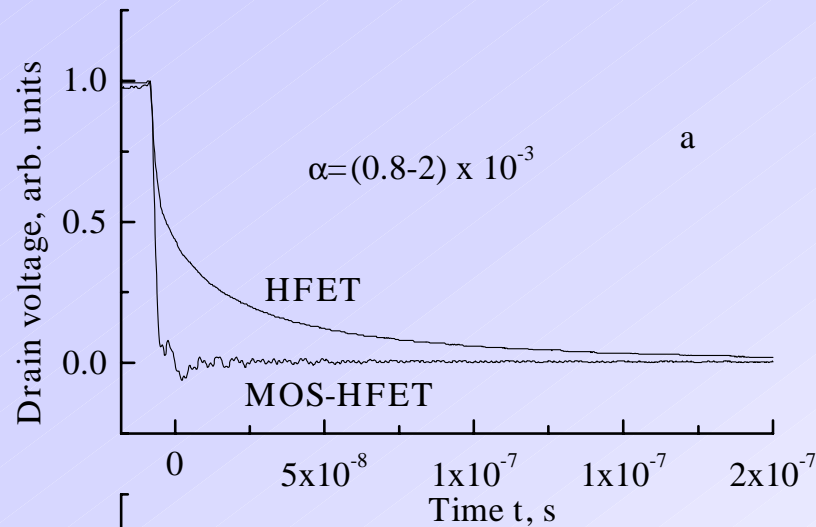


## Dependence of noise on the Fermi level position in bulk semiconductor

from E. Borovitskaya, M. Shur, On theory of 1/f noise in semiconductors Solid-State Electronics Vol. 45, No. 7, 1067 (2001)



# Correlation between current slump and 1/f noise in GaN transistors

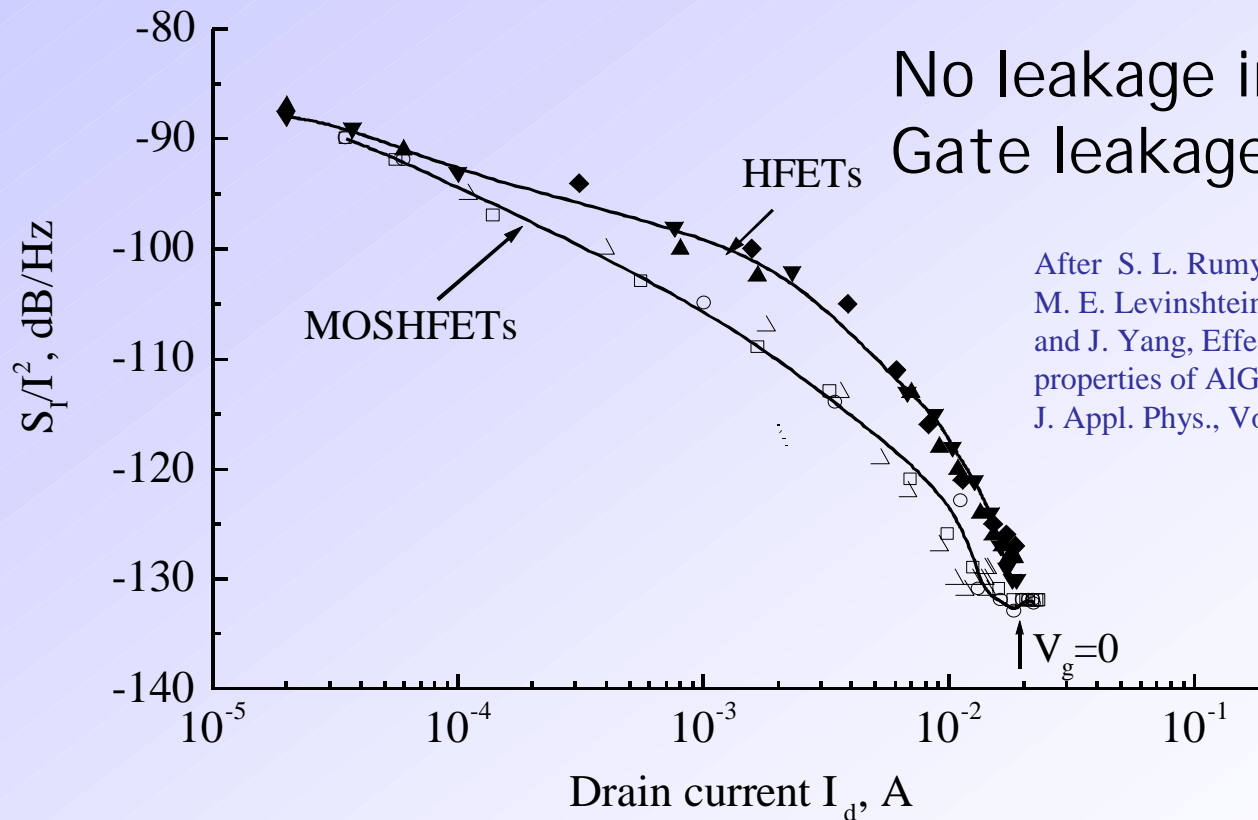


Longer transient – higher  
Hooge constant

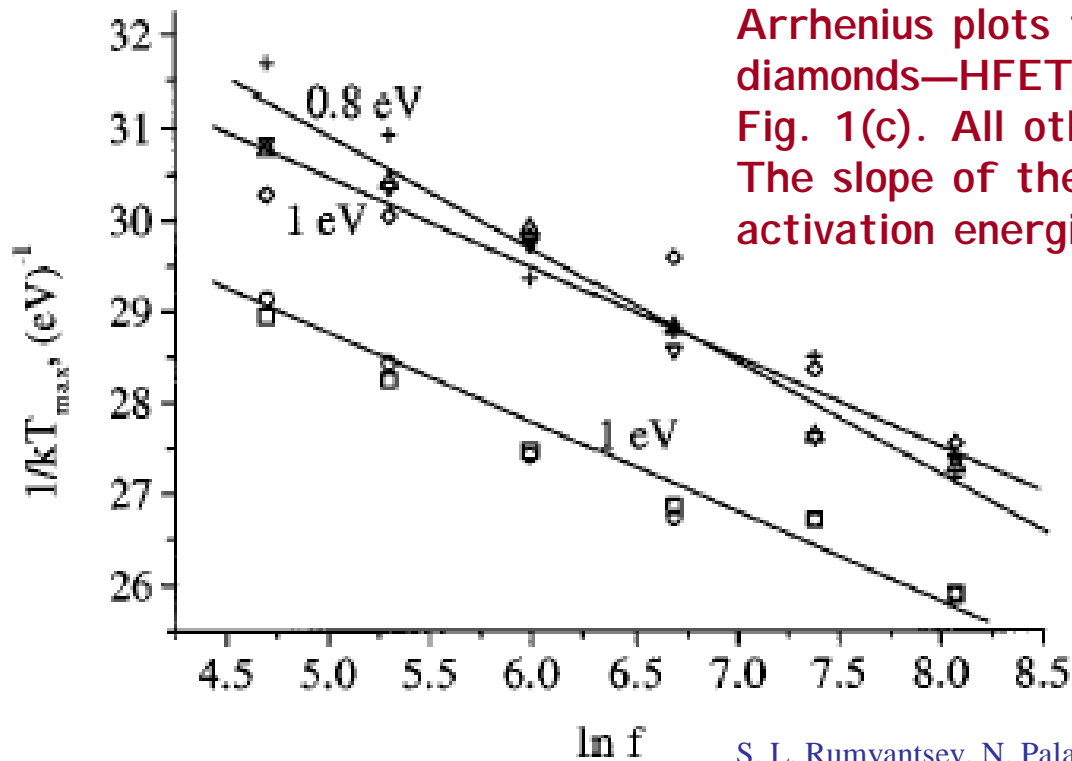
From S. L. Rumyantsev, M. S. Shur, R. Gaska, M. Asif Khan, G. Simin, J. Yang, N. Zhang, S. DenBaars, and U. Mishra, Transient Processes in AlGaIn/GaN Heterostructure Field Effect Transistors, Electronics Letters, vol. 36, No.8, p. 757-759 (2000)



# In devices with relatively high noise no correlation between 1/f noise and gate leakage



# AlGaN barrier layer is main source of generation-recombination noise

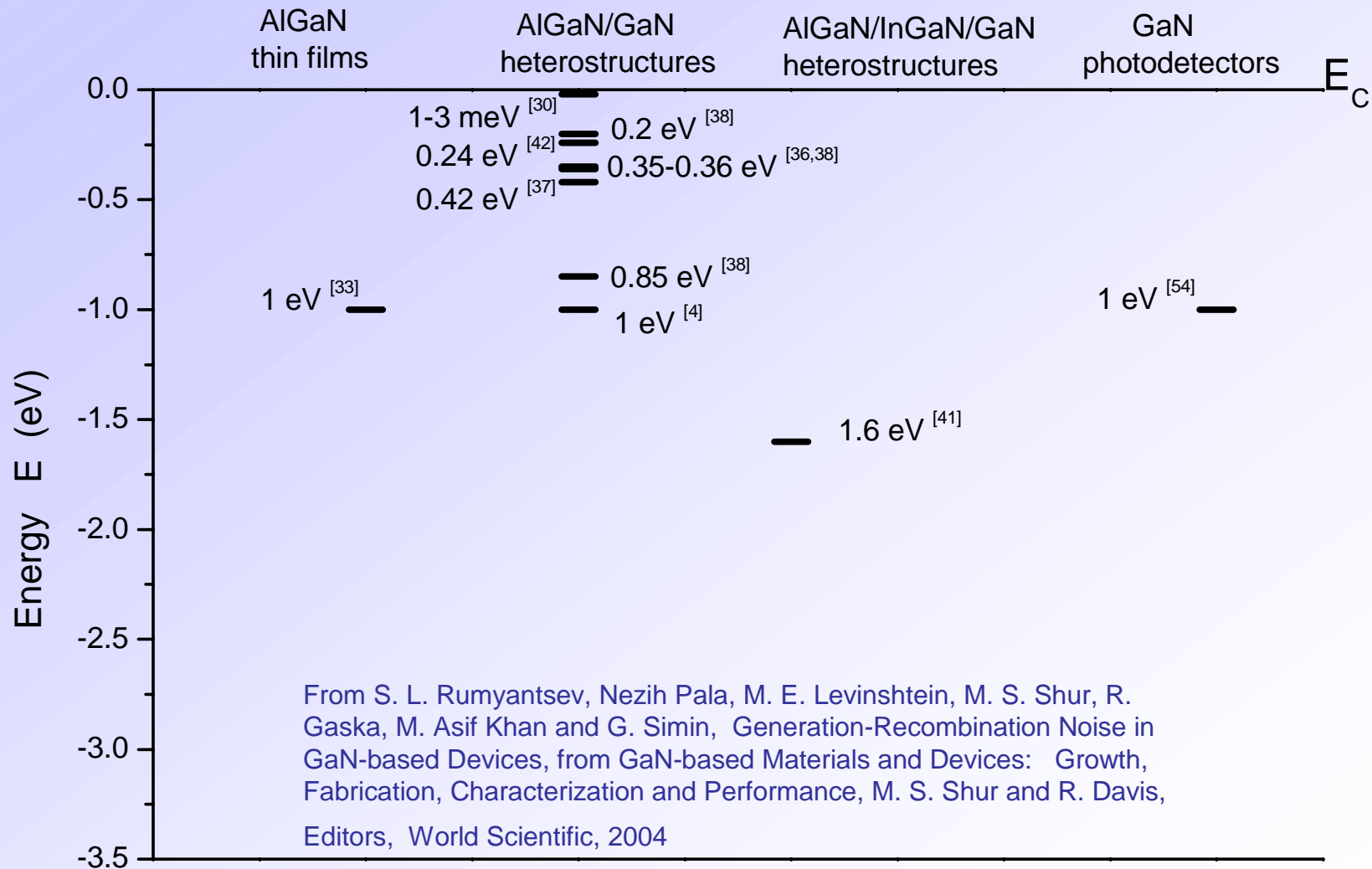


Arrhenius plots for several samples. diamonds—HFET, Fig. 1(b); crosses—MOS-HFET, Fig. 1(c). All other symbols represent HFETs. The slope of the lines determines activation energies of local level  $E$  0.8-1.0 eV.

S. L. Rumyantsev, N. Pala, M. S. Shur, E. Borovitskaya, A. P. Dmitriev, M. E. Levinstein, R. Gaska, M. A. Khan, J. Yang, X. Hu, and G. Simin, Generation-Recombination Noise in GaN/ GaAlN Heterostructure Field Effect Transistors, IEEE Trans. Electron Dev. Vol. 48, No 3, pp. 530-533 (2001)



# Where the traps are (from GR noise)



# Nitride-based FETs - promises and problems

## Promises

- 30 W/mm at 10 GHz versus 1.5 W/mm
- > 150 W per chip

## Problems

- Gate leakage
- Gate lag and current collapse →
- Reliability
- Yield

## Solutions

- Strain energy band engineering
- MEMOCVD<sup>tm</sup>
- Insulated gate designs
- Gate edge engineering

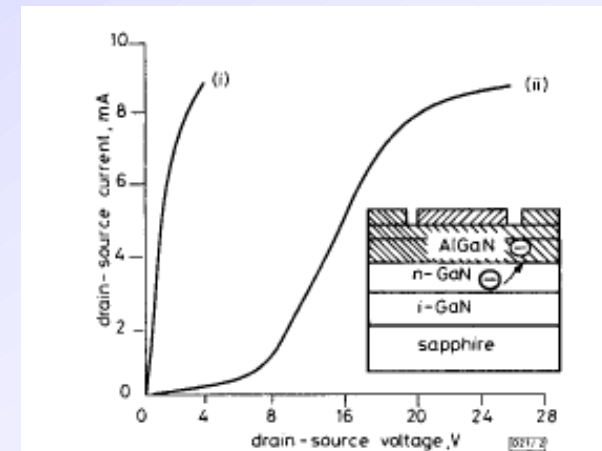


Fig. 2 Current/voltage characteristic collapse

(i) on-state (before application of a high drain bias)

(ii) off-state (after application of a high drain bias)

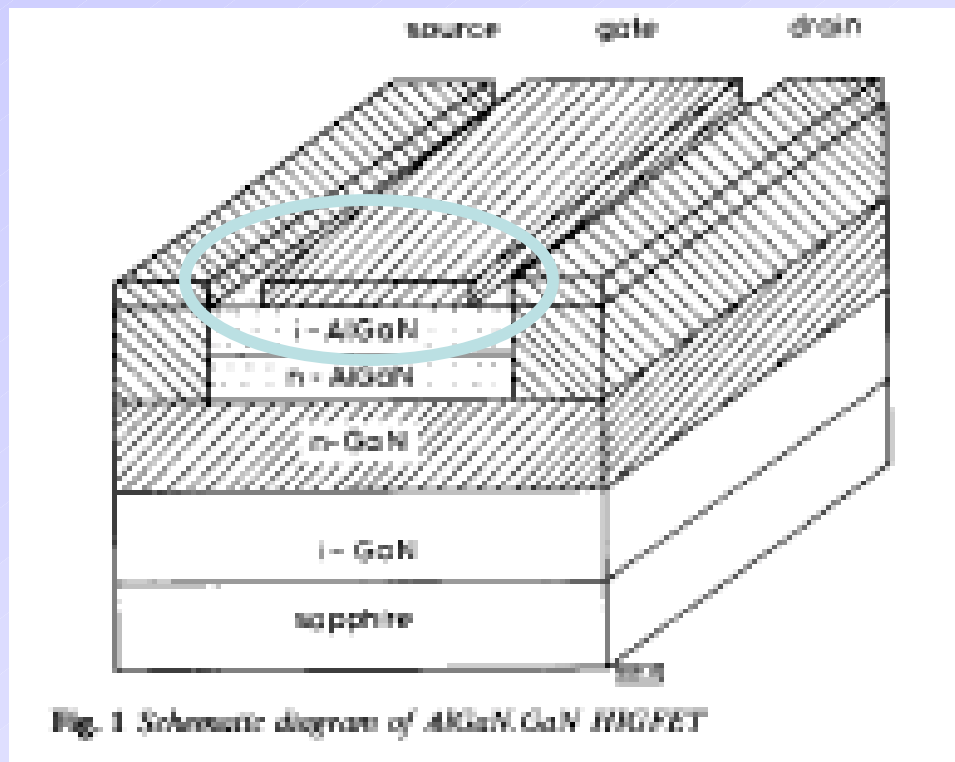
Inset: suggested collapse mechanism which is electron trapping in barrier layer at drain side of gate

M. A. Khan, M. S. Shur, Q. C. Chen, and J. N. Kuznia, **Current-Voltage Characteristic Collapse in AlGaIn/GaN Heterostructure Insulated Gate Field Effect Transistors at High Drain Bias**, *Electronics Letters*, Vol. 30, No. 25, p. 2175-2176, Dec. 8, 1994





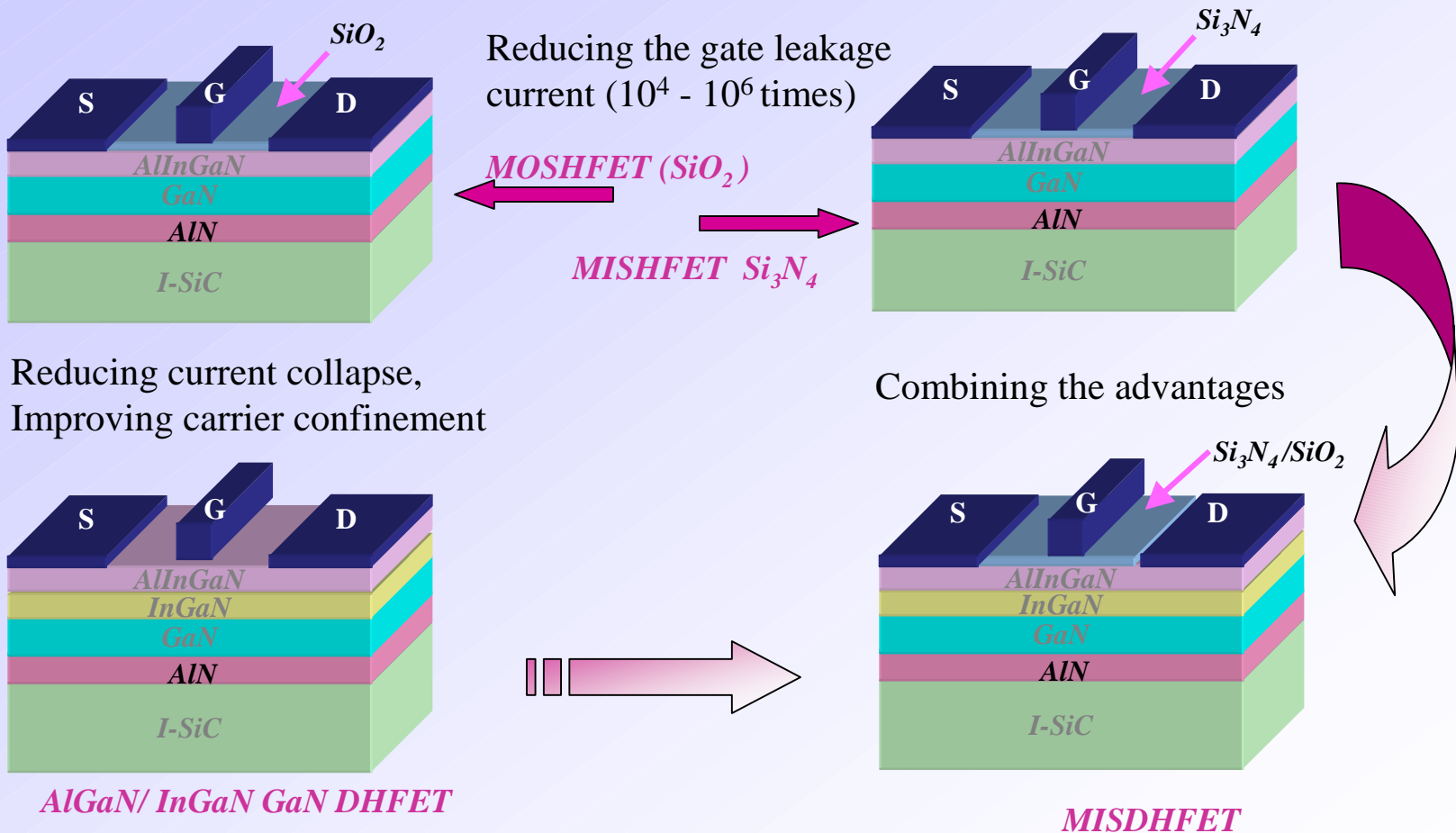
# High Electron Sheet Density Allows for a New Approach: AlGaN/GaN MISFET



M. A. Khan, M. S. Shur, Q. C. Chen, and J. N. Kuznia, Current-Voltage Characteristic Collapse in AlGaN/GaN Heterostructure Insulated Gate Field Effect Transistors at High Drain Bias, Electronics Letters, Vol. 30, No. 25, p. 2175-2176, Dec. 8, 1994



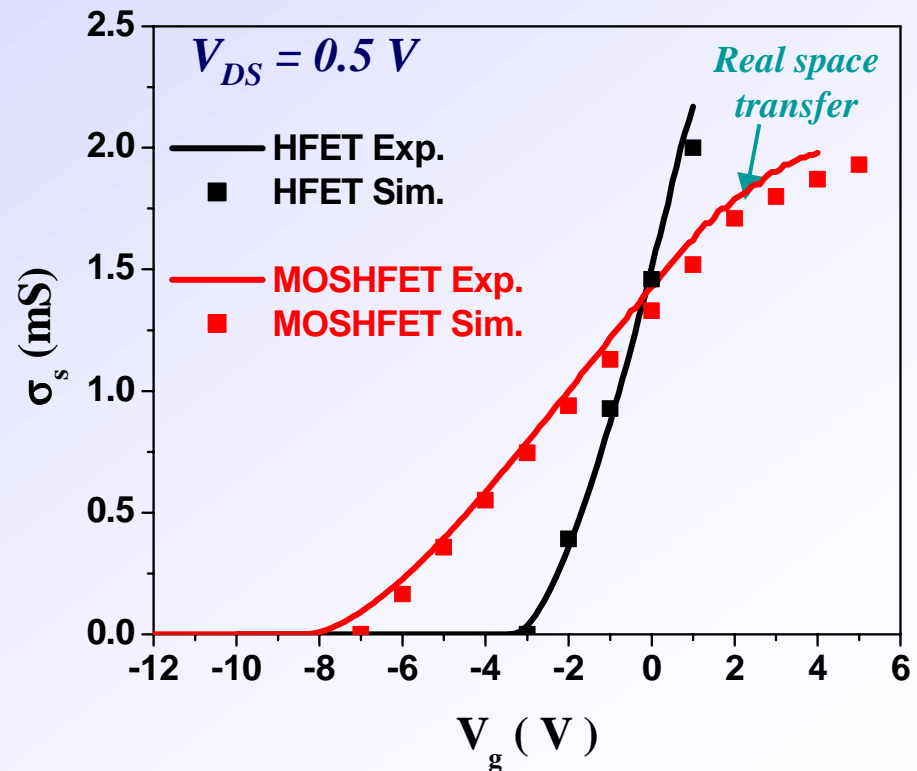
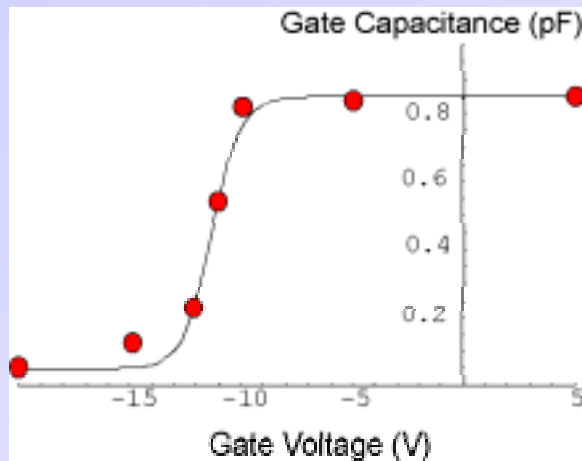
# Resolving the issues: AlGaInN, gate dielectrics, InGaN channel



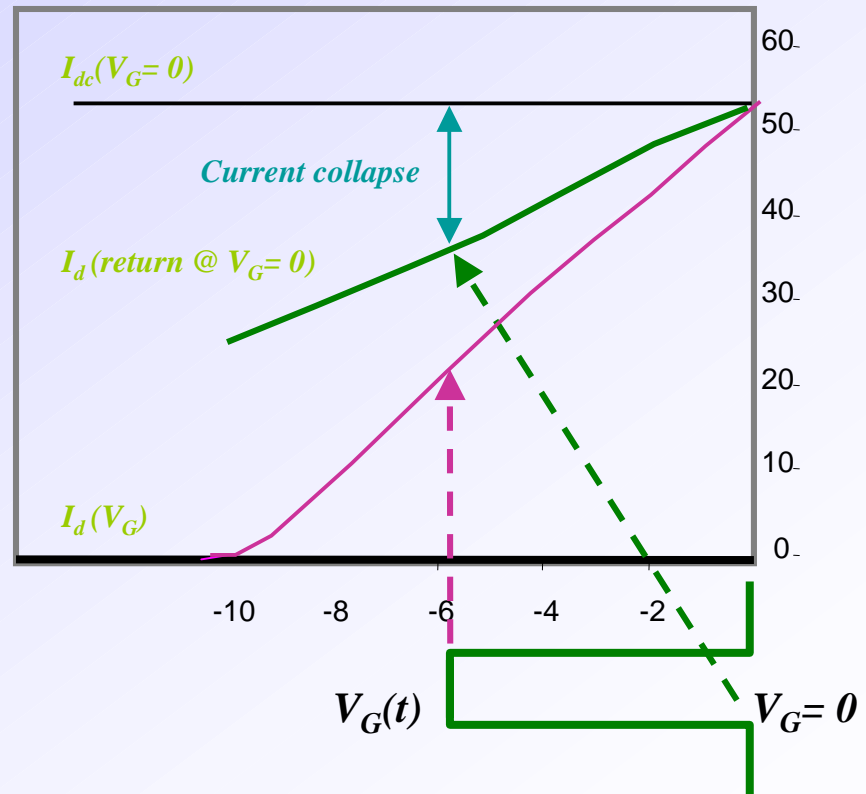
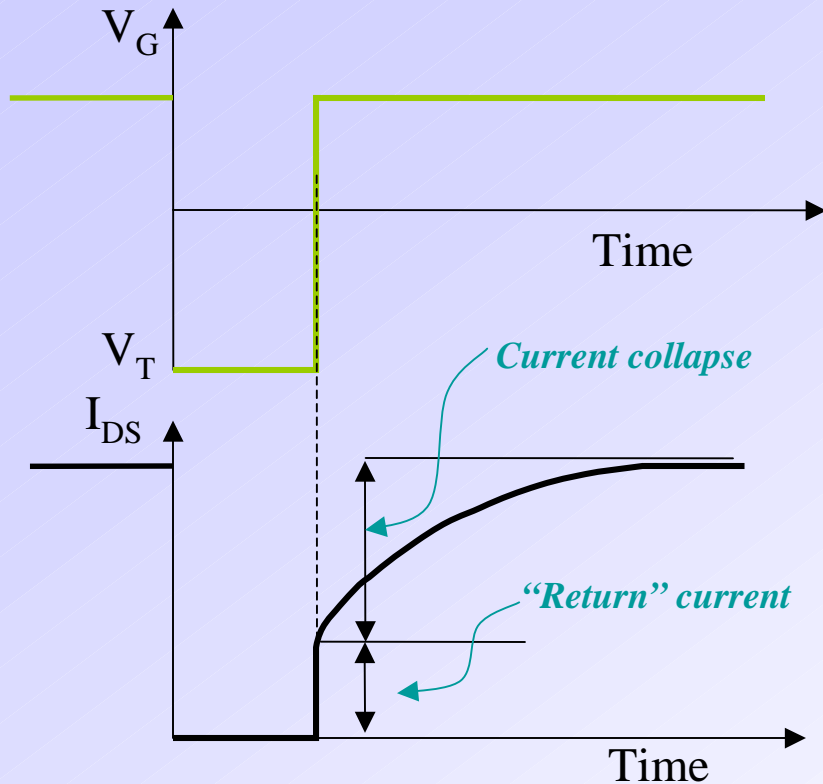
# Unified charge control model (UCCM)

## MOSFETs and HFETs

$$V_{GT} - \alpha V_F = a(n_s - n_0) + \eta V_{th} \ln\left(\frac{n_s}{n_0}\right)$$



# Gate Lag and Current collapse in AlGaN/GaN HFETs: Pulsed measurements of "return current"

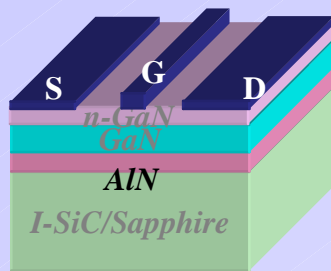


Tarakji, G. Simin, N. Ilinskaya, X. Hu, A. Kumar, A. Koudymov, J. Zhang,  
and M. Asif Khan, M.S. Shur and R. Gaska, *Appl. Phys. Lett.*, 78, N 15, pp.  
2169-2171 (2001)

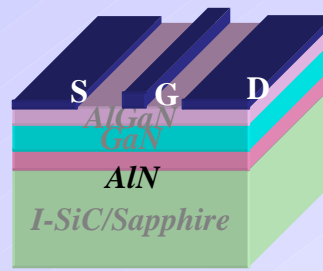
G. Simin, A. Koudymov, A. Tarakji, X. Hu, J. Yang and  
M. Asif Khan, M. S. Shur and R. Gaska *APL* October 15 2001



Nearly Identical Gate Lag Current Collapse was observed in:



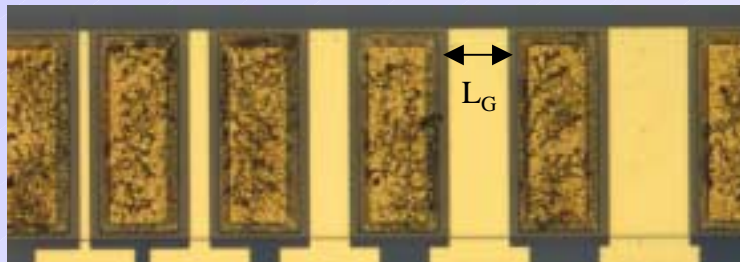
GaN MESFET



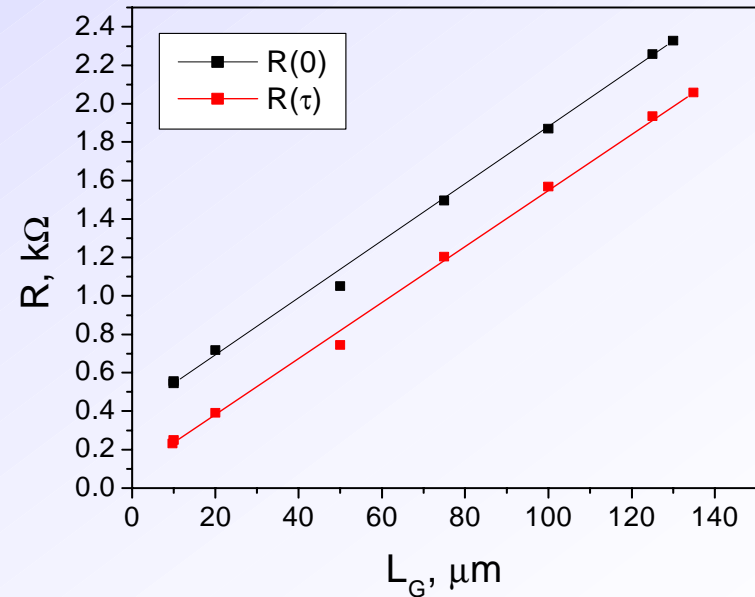
AlGaIn/GaN HFET



AlGaIn/GaN MOSHFET



GTLM pattern  
( $L_G$  variable,  $L_{GS} = L_{GD} = \text{const}$ )



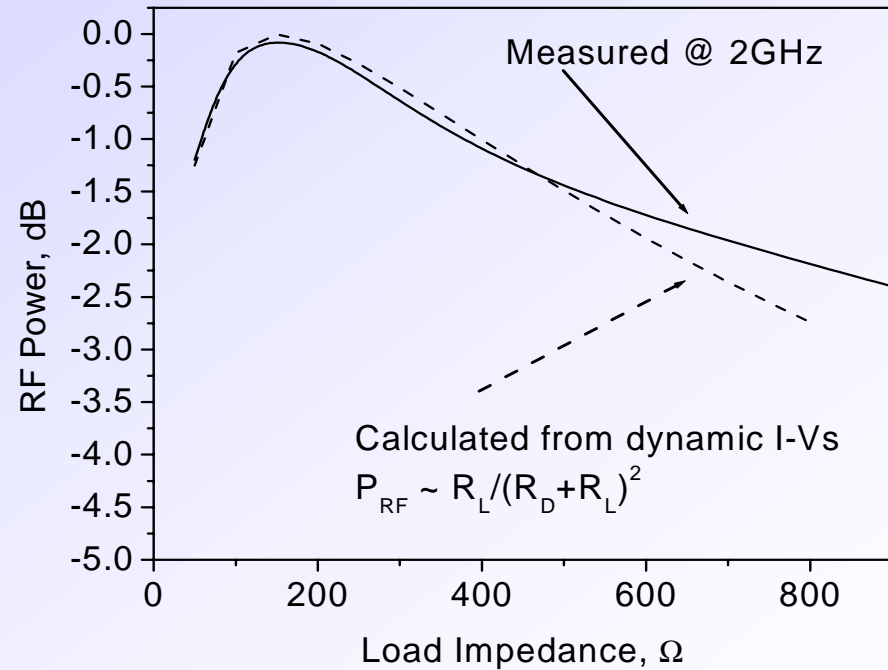
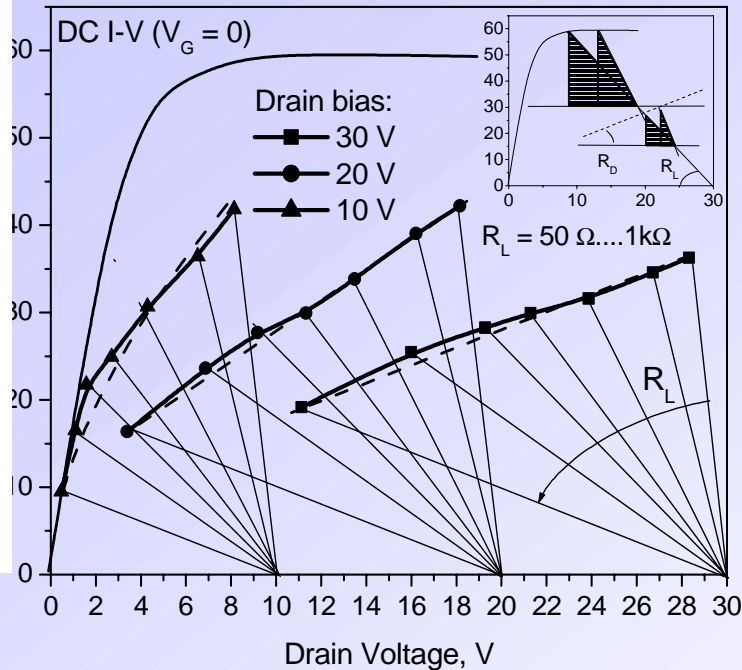
- **AlGaIn cap layer is not primarily responsible for CC**
- **Only the gate edge regions contribute to the CC**



# Dynamic I -V characteristics of AlGaN-GaN HFETs

Load impedance scan @ constant  $V_D = 10\text{ V} \dots 30\text{ V}$

Peak drain current (@ max  $V_G$ )



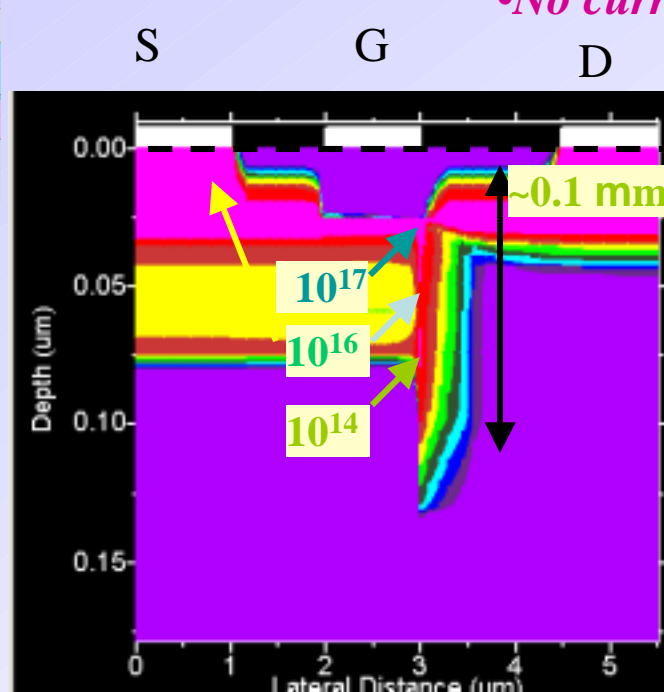
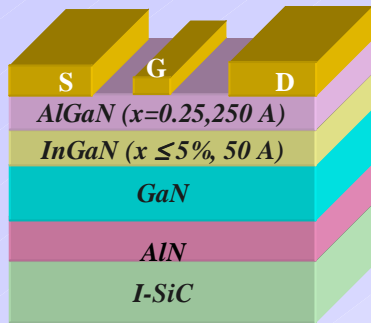
A. Koudymov, G. Simin and M. Asif Khan, A. Tarakji, M. S. Shur, and R. Gaska, Dynamic I-V Characteristics of III-N Heterostructure Field Effect transistors, IEEE EDL, Vol. 24, No. 11, pp. 680-682, November (2003)



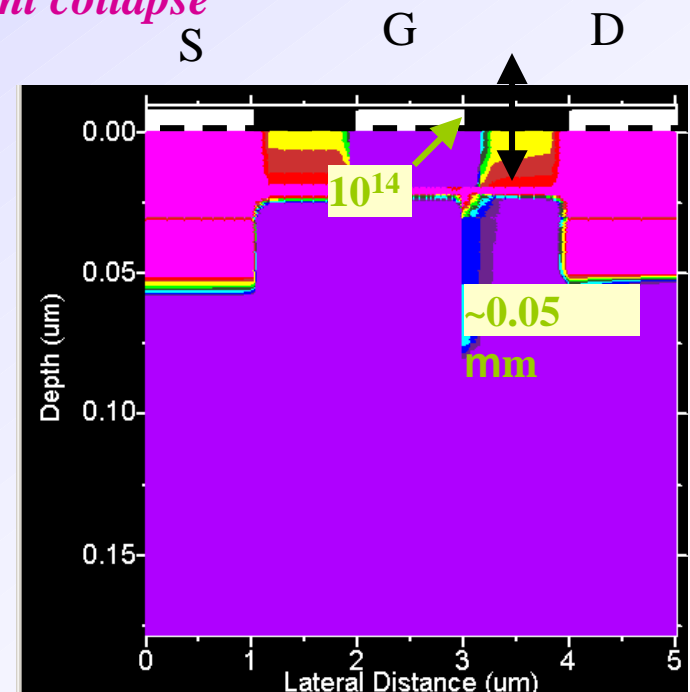
# InGaN channel DHFET Design – 2D simulations

## G-Pisces ( $V_G = -1$ ; $V_D = 20$ V)

- *Better 2DEG confinement and Partial strain compensation*
- *Significantly reduced carrier spillover*
- *No current collapse*



*Regular HFET*

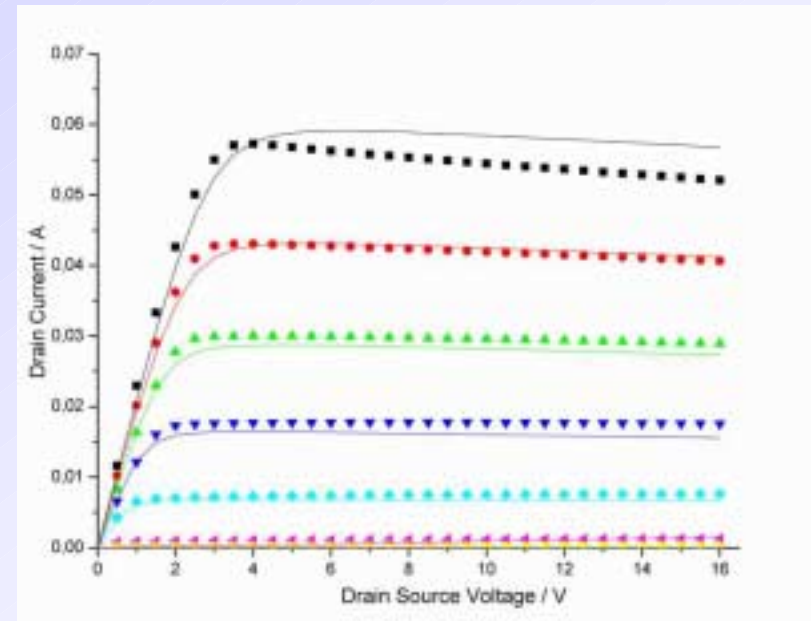
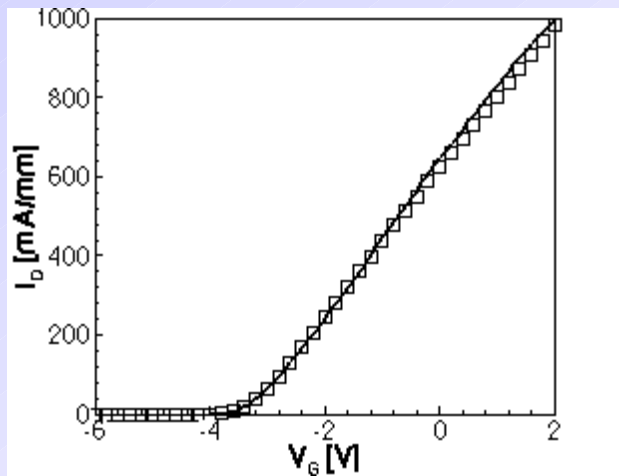
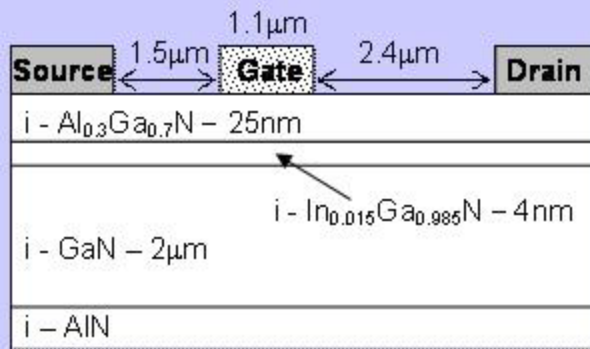


*InGaN channel DHFET*

G. Simin et.al. *Jpn. J. Appl. Phys.*  
Vol.40 No.11A pp.L1142 - L1144 (2001)



# Two Dimensional Simulation (ISE)



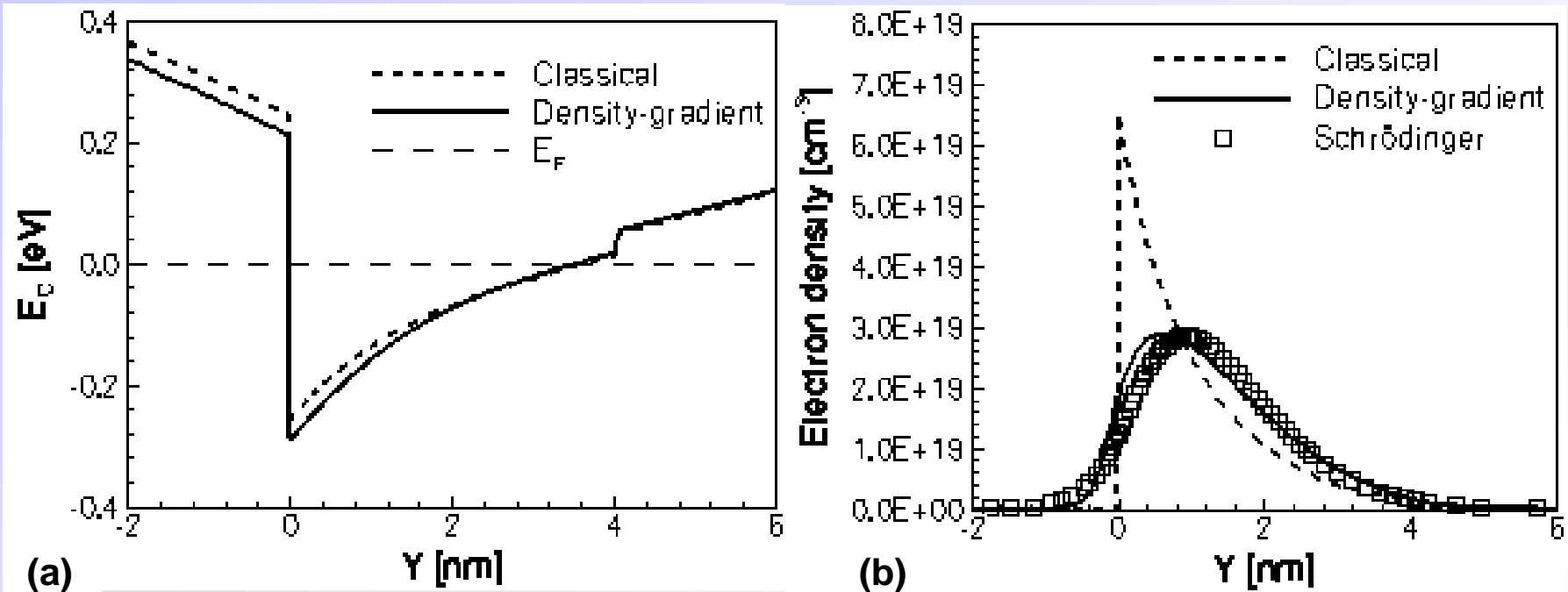
ISE 2D GaN device simulator

From N. Braga, R. Gaska, R. Mickevicius, M. S. Shur, M. Asif Khan, G. Simin, Simulation of Hot Electron and Quantum Effects in AlGaIn/GaN HFET, submitted to JAP





# Band Diagrams

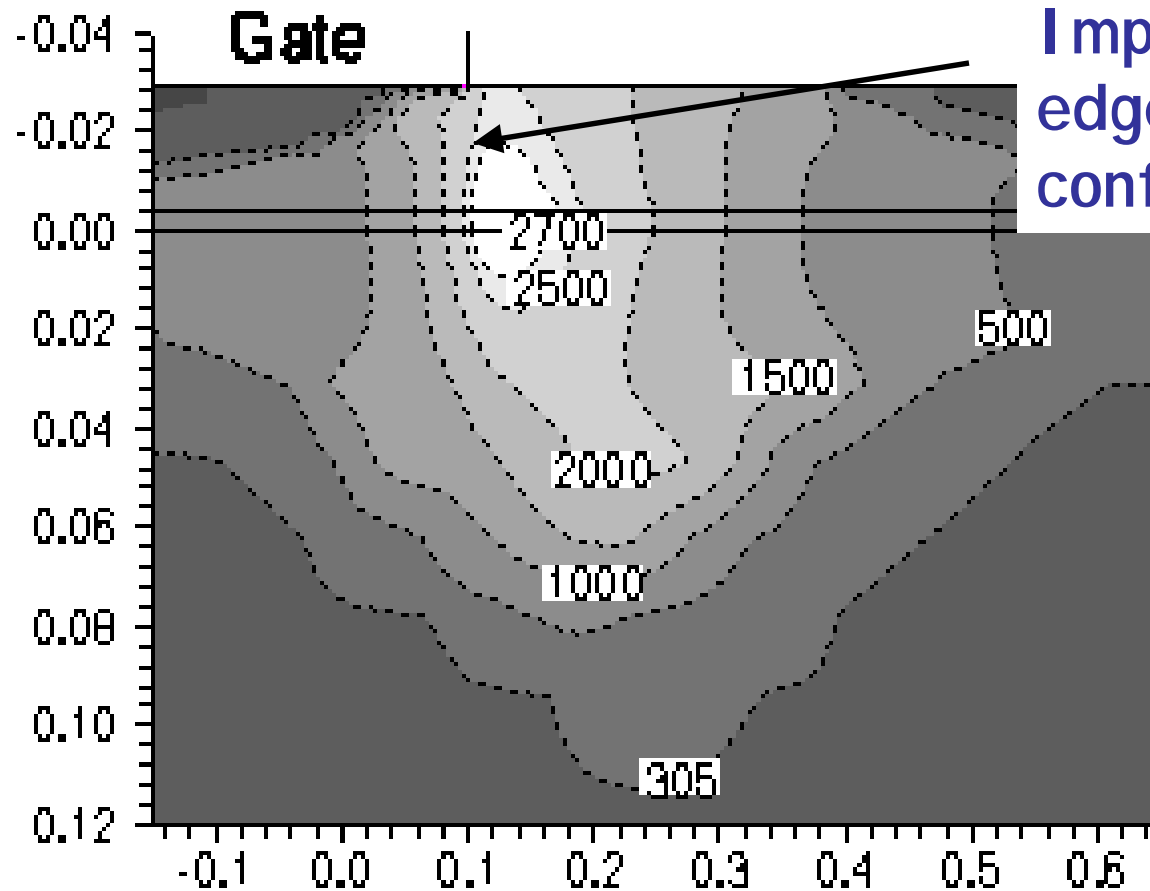


From N. Braga, R. Gaska, R. Mickevicius, M. S. Shur, M. Asif Khan, G. Simin, Simulation of Hot Electron and Quantum Effects in AlGaN/GaN HFET, accepted in JAP



# Electron temperature contour map

$V_D = 10V$  and  $V_S = V_G = 0V$



Importance of gate edge engineering confirmed

From N. Braga, R. Gaska, R. Mickevicius, M. S. Shur, M. Asif Khan, G. Simin, Simulation of Hot Electron and Quantum Effects in AlGaIn/GaN HFET, submitted to JAP



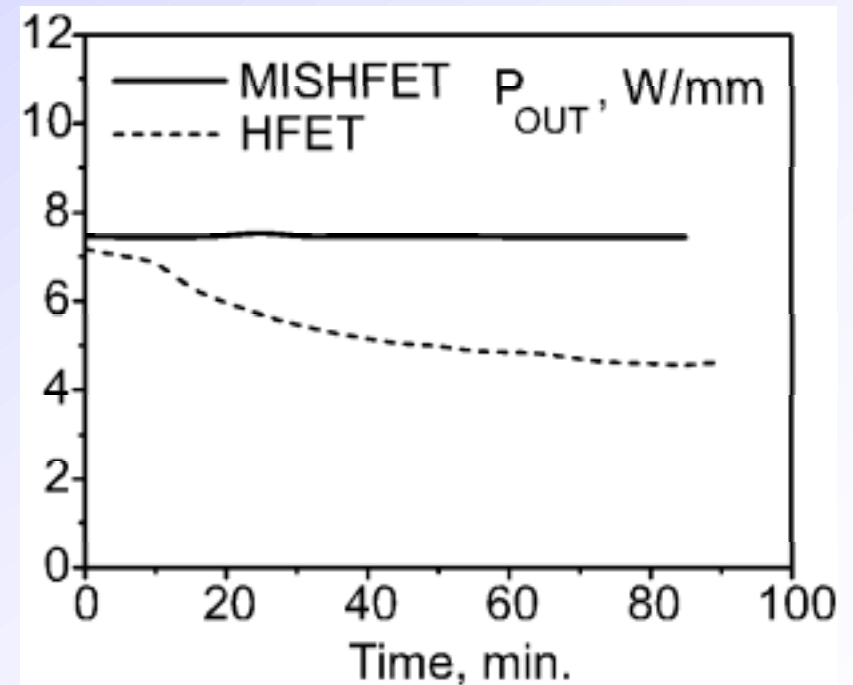
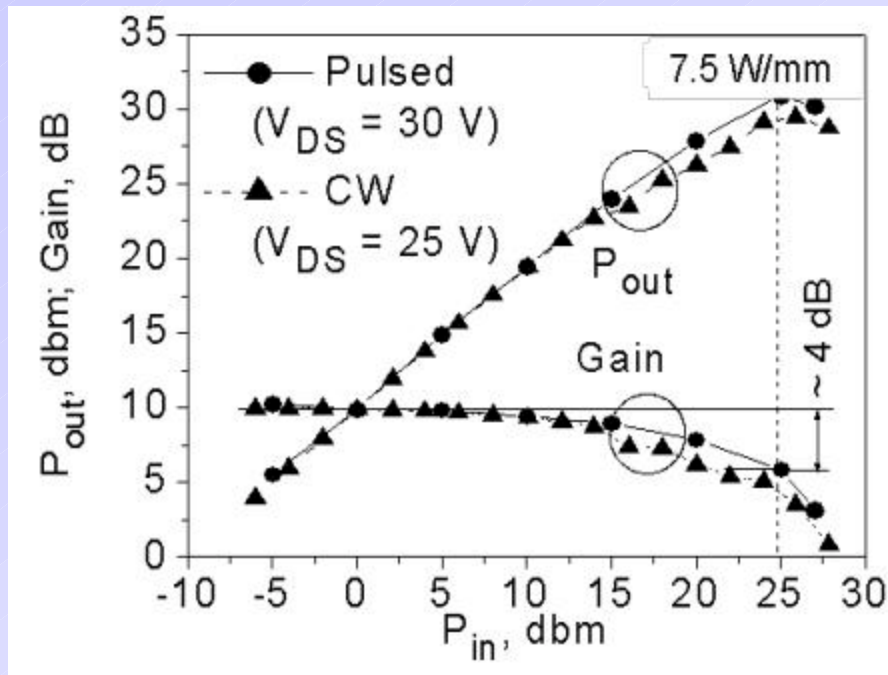
# Mechanism of current collapse in GaN FETs

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- Current collapse is not related to AlGaN layer alone
- Current collapse is caused by trapping at gate edges
- Current collapse can be eliminated by using DHFET structures
- Current collapse time delay correlates with  $1/f$  noise spectrum
- **SOLVE THE CURRENT COLLAPSE ISSUE BY CHANNEL AND GATE EDGE ENGINEERING**

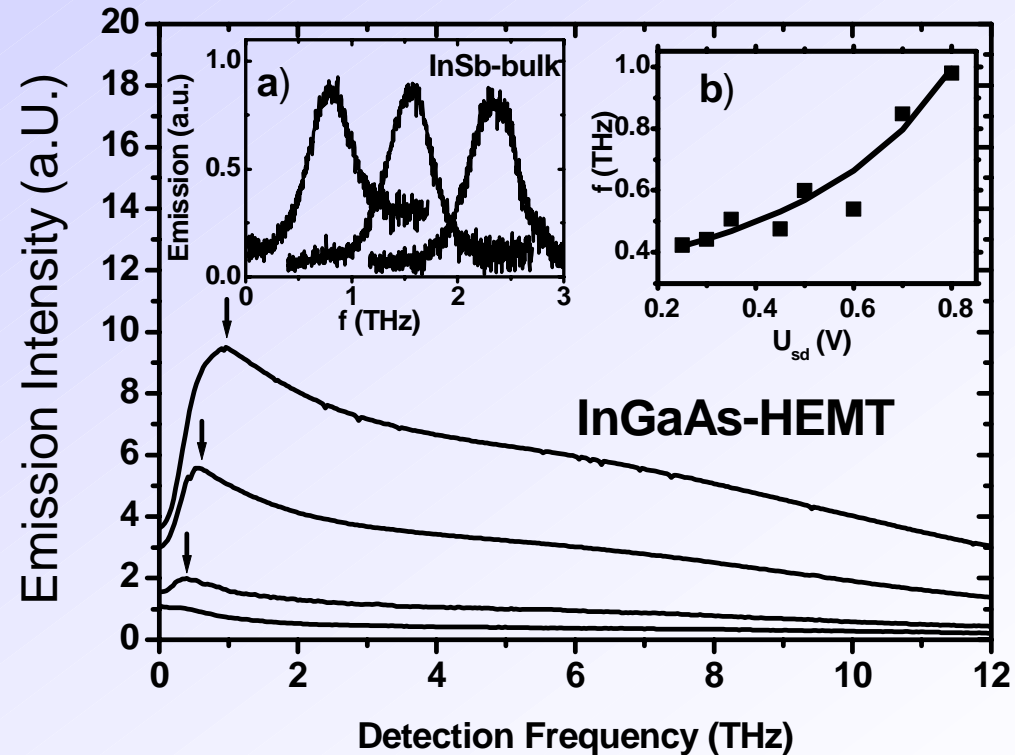
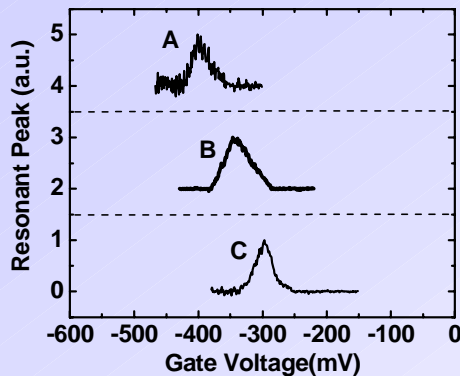
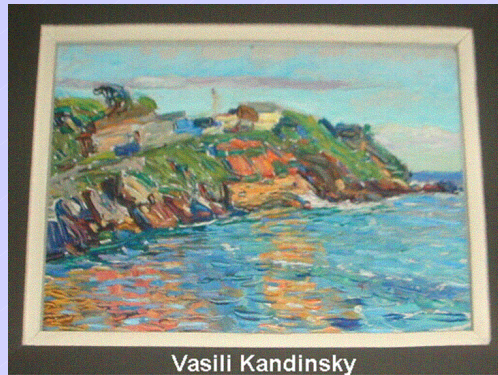


# RF Power of collapse free DHFET and MI SHFET RF Power stability



# Plasma Wave THz Devices

Deep submicron FETs can operate in a new **PLASMA** regime at frequencies up to 20 times higher than for conventional transit mode of operation



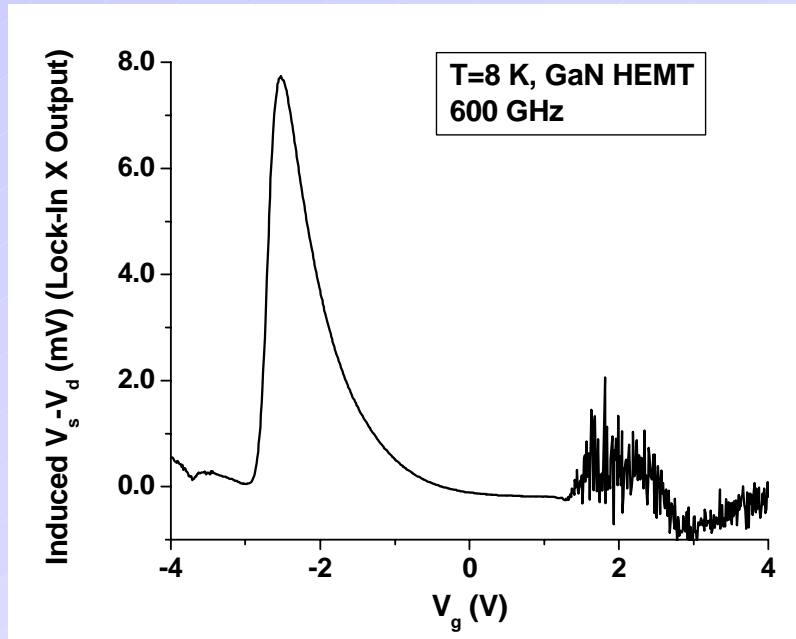
Resonant detection  
Of sub-THz and THz

THz emission from 60 nm InGaAs HEMT

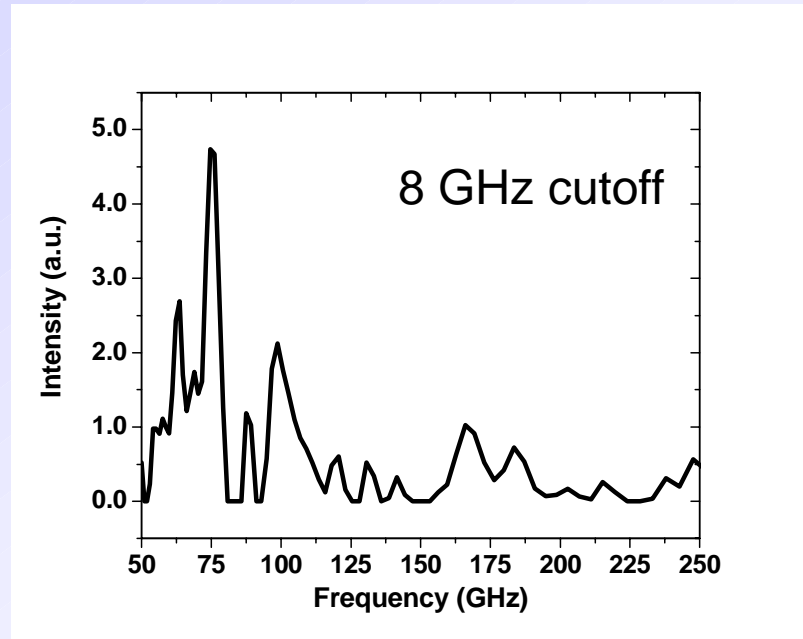
From W. Knap, J. Lusakowski, T. Parenty, S. Bollaert, A. Cappy, V. Popov, and M. S. Shur, Emission of terahertz radiation by plasma waves in sub-0.1 micron AlInAs/InGaAs high electron mobility transistors, Appl. Phys. Lett., March 29 (2004)



# Plasma Wave Electronics



600 GHz radiation response of GaN HEMT at 8 K



Radiation intensity from 1.5 micron GaN HFET at 8 K.



# Conclusions

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- New device physics of nitride based materials requires new designs and new modeling approaches
  - Polarization devices
    - Pyroelectric sensors
    - Piezoelectric sensors
  - Electron runaway and overshoot effects determine high field transport
  - Traps can be easier filled because of high electron densities
  - Noise determined by tunneling into traps
  - Strain control: Strain Energy Band Engineering
  - FETs – MOSHFET, DHFET, MOSDHFET
  - Terahertz applications – plasma wave devices

